

Minimally Invasive Techniques for the Management of Thyroid Nodules



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KEYWORDS

- Thyroid nodule • Thyroid neoplasms • Minimally invasive therapy • Ethanol ablation
- Thermal ablation • Radiofrequency ablation • Laser ablation

KEY POINTS

- Data increasingly support the use of minimally invasive techniques (MITs) as nonsurgical options for the treatment of thyroid disease.
- MITs are typically performed in the ambulatory setting under local anesthesia. This facilitates rapid treatment and discharge postprocedure.
- Evidence supports the use of MIT for the treatment of select benign symptomatic and autonomously functional thyroid nodules. Recent literature demonstrates the potential for MIT in the treatment of primary papillary thyroid cancer as well as recurrent disease.
- These techniques require training, practice, and competent understanding of cervical anatomy to minimize risk and produce successful outcomes. When performed by an experienced operator, risks associated with RFA are typically lower than those associated with surgical management creating a favorable risk to benefit ratio.

Thyroid nodules are common and the majority are benign and do not require intervention.¹ A subset of benign thyroid nodules (BTN) may be symptomatic or autonomously functional requiring definitive treatment. Radioactive iodine (RAI) is effective in treating autonomously functional thyroid nodules (AFTN), but can render the patient permanently hypothyroid and presents risks of salivary and lacrimal gland injury as well as potential risks of secondary malignancy. Surgical management is appropriate for BTN and thyroid cancers requiring definitive management. Surgery requires hospital admission, general anesthesia, and even if the procedure is limited to lobectomy up to 23.6% of patients will remain permanently hypothyroid.² Surgery also conveys a

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small risk of permanent hypoparathyroidism and voice changes. Alternatives to both surgery and RAI are appealing, and recent innovation in MIT for the management of thyroid nodules may provide such an alternative.

MIT uses a variety of ultrasound-guided percutaneous methods performed in the outpatient setting under local anesthesia with the optional addition of a mild sedative. MIT is associated with no or minimal scarring, rapid recovery, and almost never results in hypothyroidism in appropriately selected patients.

The composition, size, and functional status of thyroid nodules dictate both the selection and success of each modality of MIT. The goal of this article is to present the various MIT modalities, discussion of indications, techniques, risks, and outcomes.

MIT MODALITIES

Simple aspiration

Outcomes by indication

Cystic and predominantly cystic nodules. Predominantly cystic nodules are composed of greater than 50% fluid by volume and are overwhelmingly benign³ but may still produce compressive and cosmetic symptoms. These symptoms can often be immediately relieved by simple aspiration and drainage. However without the use of sclerosing agent (typically 95%–98% dehydrated ethanol) cystic fluid reaccumulation occurs in 58%–81%^{4,5} of cases. Despite the relatively high likelihood of fluid reaccumulation, the procedure is low risk and allows the acquisition of diagnostic cytology to confirm benignity before more definitive treatment such as ethanol ablation (EA), thermal ablation, or surgery. Simple aspiration (**Fig. 1**) is, therefore, often a reasonable first step in the management of predominantly cystic nodules.⁶

Technique

Please refer to the included [Appendix 1](#) for the discussion of the technique for simple aspiration of predominantly cystic nodules.

Ethanol ablation

Outcomes by indication

Pure cyst and predominantly cystic nodules. In the case of reaccumulation of a predominantly cystic nodule, ethanol sclerotherapy commonly referred to as EA offers an effective means of therapeutic escalation after negative cytology has been verified⁶ (**Fig. 2**). EA may be used as first-line therapy for the initial treatment of purely cystic nodules without confirming benign cytology given the risk of malignancy is less than 1%.⁷ Injection of 95% to 99% ethanol into an evacuated cyst causes coagulative and ischemic necrosis of the cyst epithelium and small vessels in contact with ethanol including inducing reactive fibrosis that can prevent the reaccumulation of cystic contents. EA is 85% to 98% effective in pure cysts and 60% to 90% effective in predominantly cystic nodules (>50% cystic).⁶ The ability to evacuate all cystic fluid contents before ethanol instillation has been shown to predict higher success rate (93.3%) versus nodules with a lesser degree of cystic fluid evacuation.⁴ However it's noteworthy that even when EA sufficiently resolves the cystic component of a complex thyroid nodule, Jang and colleagues reported that patient satisfaction may decline when the initial solid component exceeds 20%.⁸

Autonomously functioning thyroid nodules. EA for AFTN was first proposed in 1990 by Livraghi and colleagues who reported that multiple and serial injections of 1 to 5 mL of 95% ethanol-induced coagulative necrosis leading to the normalization of thyroid function, nodule volume reduction, and normalization of nuclear scintigraphy.^{9,10}



Fig. 1. Placement of Needle via transisthmus approach into the lumen of cyst.

Complete responses ranged from 64% to 85% and nodule volumes greater than 15 mL were associated with poor response.¹¹ Papini and colleagues subsequently suggested that EA might be particularly useful in young patients with nontoxic AFTN in which progression over lifetime is likely, nodules tend to be smaller, and as a way of avoiding hypothyroidism following surgical management or RAI.¹² Papini and colleagues reported EA success rates of greater than 90% in nontoxic AFTN.¹¹ A newer vascularity targeted ethanol injection technique has been described by Sharma and colleagues which requires fewer ethanol injections and smaller volumes of ethanol (median 0.46 mL/mL nodule volume), resulting in an increase in TSH in 83% of patients and TSH normalization in 61%, $n = 18$.¹³ However, median nodule volume in this study was 5.7 mL and large nodule volume greater than 20 mL was cited as the cause for 2/5 reported procedure failures.

Despite the low rate of malignancy in AFTN we still recommend obtaining benign cytology on one occasion before EA since papillary thyroid carcinoma in these functional thyroid nodules may still rarely occur.¹⁴

Recurrent papillary thyroid cancer. A growing literature supports the use of EA as a 2nd line intervention for treated recurrent PTC in appropriately selected cases. Though there are no head-to-head comparisons of surgical management and EA, meta-analysis data including 27 studies with a total of 1617 patients that though the surgery was statistically superior to EA rates of posttreatment cervical recurrence as well as complications, both were low and statistically similar for both procedures. However, the authors note that cases in the surgery cohort represented more histologically aggressive PTC recurrence, and patients treated with EA were generally older with more clinically indolent disease underscoring the clinical superiority of surgical management. The authors conclude that though surgical management remains the most definitive management option for recurrent PTC, EA can be effective for treating selected lesions when a “berry picking” approach is reasonable.¹⁵

Long-term follow-up of patients following EA is relatively scant but Hay and colleagues report over 5 years of mean follow-up for 25 patients with recurrent PTC

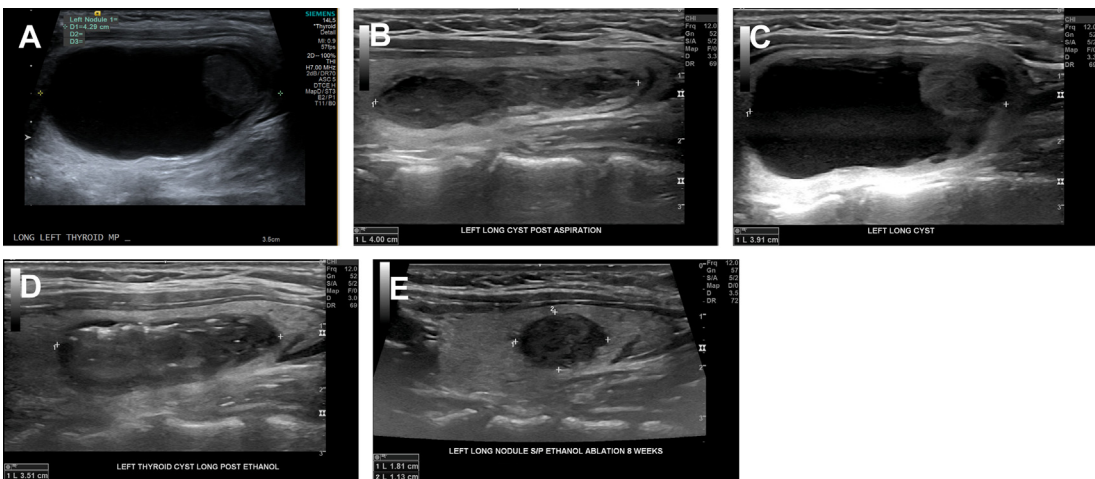


Fig. 2. Case of a 37-year-old female with cosmetic and compressive symptoms due to left-sided predominantly cystic nodule (A) Initial ultrasound evaluation (B) Status post simple aspiration (C) Reaccumulation of cystic fluid at 1-month follow-up (D) Immediately post ethanol aspiration (E) sustained benefit at 8-weeks post ethanol ablation.

with a total of 37 foci of cervical nodal recurrence.¹⁶ Of 37 treated lesions 35 (95%) demonstrated a decrease in lesion size, 17 (46%) demonstrated complete ultrasonographic resolution, and all lesions seemed avascular by Doppler flow on follow-up. Subsequent disease recurrence at different sites was noted in 6 patients with a total of 18 new lesions of which 15 (83%) were successfully treated with additional EA. The authors further note that EA allowed avoidance of 40 neck reoperations at an aggregate cost savings of more than \$61,000.

In patients with smaller foci of recurrent PTC, EA has been shown to have efficacy similar to the RFA with statistically similar outcomes both for VRR and complete disappearance of the targeted lesion. The rate of complications following RFA and EA were also statistically similar leading the authors to conclude that both RFA and EA are similarly effective interventions for patients with locally recurrent thyroid cancer who are not candidates for surgical management.

We agree that though surgery remains the most definitive method for control of locoregionally recurrent PTC EA may be effective for patients who are not ideal candidates for surgery, especially in the case of pauci-metastatic small volume locoregional recurrence, and may result in considerable cost savings when compared with surgery.

Technique

Please refer to the included [Appendix 1 \(Figs. 3–6\)](#) for the discussion of the technique for the EA of cystic lesions and recurrent PTC.

Thermal ablation

Thermal ablation includes a number of methods that generally employ the use of nonionizing electromagnetic energy to produce heat-induced coagulative necrosis of target tissue.

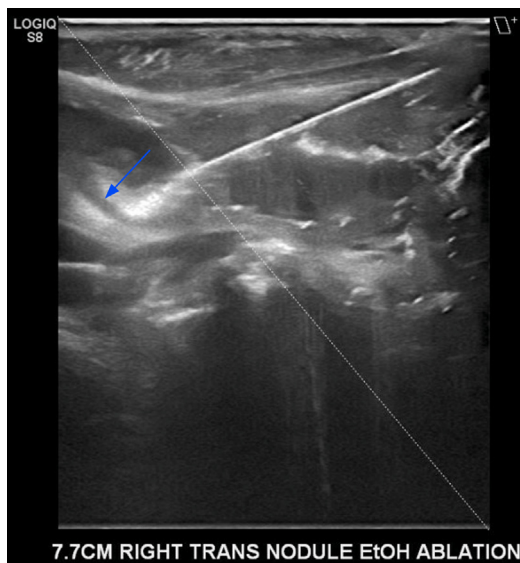


Fig. 3. Hyperechoic artifact of ethanol injection can obscure needle tip. Blue arrow demonstrates ethanol flow from the needle tip.



Fig. 4. Lidocaine 1% applied to anesthetize the thyroid capsule appears as an anechoic band separating the thyroid capsule and anterior lying sternothyroid muscle.

Radiofrequency ablation

Thyroid radiofrequency ablation (RFA) involved the percutaneous insertion of a 19 to 20 G internally water-cooled radiofrequency electrode to deliver electromagnetic energy in the range of 450 to 1200 kHz to cause friction between charged ions resulting in thermal injury and subsequent coagulative necrosis. Initially described for the treatment of hepatocellular disease, RFA was first used for the treatment of thyroid cancer lymph node metastasis in 1998. An early obstacle for thyroid RFA was unintended collateral tissue necrosis of nearby structures around the treatment target due to the distant propagation of heat generated during the procedure. Pivotal breakthroughs in thyroid RFA technique included the 1996 development of internally water-cooled electrodes that minimize thermal propagation,¹⁷ and the transisthmus moving shot technique in 2008¹⁸ which allows improved control of the treatment zone. Though RFA has been successfully used in Asia and Europe for over a decade^{19,20} whereby numerous guidelines have been developed (Table 1), FDA approval of RFA for the treatment of soft tissue disease including structural thyroid disease was only granted in 2018 making the procedure still relatively new in the US (RFA Approval



Fig. 5. The marginal vein is punctured and ablated initially by the electrode tip to avoid heat sink and promote peripheral treatment.

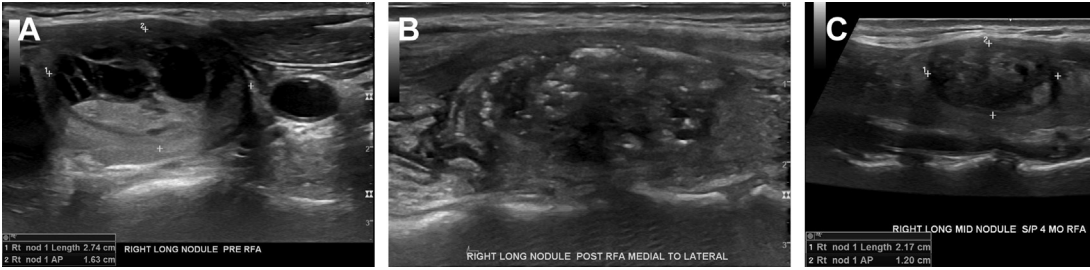


Fig. 6. Right-sided thyroid nodule (A) before RFA (B) immediate post-RFA demonstrating classic hyperechoic changes (C) 4-months post-RFA with classic dense hypoechoic appearance.

letter, March 14, 2018, Retrieved May 1, 2021 https://www.accessdata.fda.gov/cdrh_docs/pdf17/K172012.pdf). Additionally, RFA lacks a CPT billing code and is still considered an experimental procedure by most payers within the US limiting reimbursement and therefore access for many patients. Despite an extensive international literature demonstrating the efficacy and safety of RFA, the US experience has remained limited and further published studies using US populations will be needed to support the development of a CPT code that will allow reimbursement for what is now almost exclusively a cash-only procedure.

Outcomes by indication

Benign symptomatic predominantly solid thyroid nodules Benign nodules causing cosmetic or compressive symptoms can be effectively treated with RFA with an expected volume reduction rate (VRR) of 50%–88.2% over the course of 6 to 18 months.^{21,22} Patients reported significant improvement in cosmetic scores^{23,24} and compressive symptom scores.²⁵

Factors impacting efficacy include the feasibility of complete nodule treatment impacted by proximity to high-risk structures, nodule size, and nodule composition. Smaller nodule size and those with larger cystic components are associated with the greatest VRR.^{21,26} Though a single competently performed RFA is effective in most cases, multiple treatments may be necessary in nodules greater than 30 mL²⁵ or in the case of marginal regrowth of previously treated lesions.²⁷

Compared with surgical management which is the traditional first-line treatment of symptomatic benign nodules, it is important to educate patients that the benefit of RFA is not immediate, and instead accrues over the course of months. The VRR within the first 3 to 6 months occurs at the most rapid rate with continued but more gradual volume reduction over the following 6 to 18 months, as shown in a prospective single-center Austrian study which demonstrated a VRR of 68% and 82% at 3 and 12 months, respectively.²⁶ Despite this delay in therapeutic benefit one retrospective study demonstrated the efficacy and safety of RFA (n = 200) compared with patients managed surgically (n = 200) for cytologic benign symptomatic or functional thyroid nodules. RFA treated nodules demonstrated a mean 84.8% volume reduction at 1 year and had a lower risk of complications compared with those managed surgically, 1% versus 6%, $P = .002$.²⁸ Notably, the risk of postprocedural hypothyroidism was significantly lower after RFA versus surgery, 0.13% versus 71.5%, respectively.²⁸ Cases of post-RFA hypothyroidism are rarely reported to occur in patients with high titer of TPO or Tg antibodies before RFA.^{29,30} Costs of RFA and surgery were similar in this study but the cost of thyroid surgery in China was reported to be $\$2556.95 \pm \171.88 compared with an average US Medicare cost of $\$5784$ (<https://www.medicare.gov/procedure-price-lookup/cost/60210> accessed 8/7/2021), suggesting that cost–benefit comparison is location dependent.

Bernardi and colleagues²⁴ compared RFA to hemithyroidectomy for the alleviation of nodule-related symptoms and found that though symptomatic and cosmetic outcomes were not statistically different following patients with RFA experienced significantly less procedure-related pain, hypothyroidism, and complications.

The sustainability of nodule volume reduction after RFA treatment was illustrated in a study of 111 patients with benign, nonfunctional thyroid nodules that demonstrated a mean volume reduction of 93.4% maintained over 3 years of follow-up with only a 5.6% rate of recurrence (increase of >50% of volume) attributed peripheral regrowth.³¹

Autonomous functioning thyroid nodules In 2008, Baek and colleagues³² published the first case report of the successful use of RFA for AFTN. Subsequent studies

Table 1
Current RFA pre procedure requirements and indication by guidelines

Guidelines	Pre - Procedure Work up	First-Line Indications	Second-Line Indications
KSThR 2017	Two benign FNA/CNB Exception: One benign FNA/CNB in AFTN or K-TIRADS 2	Compressive or cosmetic symptomatic nonfunctional predominantly solid nodule Toxic or pretoxic AFTNs	Cystic/predominantly cystic nodule (after failed EA) Primary or recurrent thyroid neoplasms in patients refusing or unable to undergo surgery
AACE/ACE/AME 2016	Two benign FNA/CNB	Compressive or cosmetic symptomatic nonfunctional predominantly solid nodule	
NICE 2016	Two benign FNA/CNB	Compressive or cosmetic symptomatic benign thyroid nodules	
MITT group 2019	Two benign FNA/CNB Exception: One benign FNA/CNB in AFTN or EU-TIRADS 2, 3 Repeat FNA after initial RFA, before re-treatment in the setting of regrowth	Compressive or cosmetic symptomatic nonfunctional predominantly solid nodule	Cystic/predominantly cystic nodule (after failed EA) Dominant nodule in nontoxic MNG refusing surgery AFTN in patient refusing surgery or RAI Small AFTN in patients prioritizing sparing thyroid tissue and >80% of nodule ablation expected
Austrian professional associations 2019	Two benign FNA/CNB	Compressive or cosmetic symptomatic benign thyroid nodules Unifocal AFTN <15 mL	

(continued on next page)

Table 1
(continued)

Guidelines	Pre - Procedure Work up	First-Line Indications	Second-Line Indications
ETA 2020	Two benign FNA/CNB Exception: One benign FNA/CNB of spongiform or pure cystic lesions	Compressive or cosmetic symptomatic predominately solid benign thyroid nodules well defined dominant nodule of MNG Small AFTN and incomplete suppression of the perinodular thyroid issue	Cystic or predominantly cystic nodules that failed EA Large AFTN in patients who decline surgery or RAI Large AFTN with compressive symptoms may consider concurrent RAI and TA (RFA or LTA) for more rapid therapeutic response

Abbreviations: AACE/ACE/AME, American Association of Clinical Endocrinology/American College of Endocrinology/Associazione Medici Endocrinologi; AFTN, autonomous functioning thyroid nodule; CNB, Core needle biopsy; EA, ethanol ablation; ETA, European Thyroid Association; EU-TIRADS- European thyroid imaging reporting, and data system; FNA, fine needle aspiration; KSThR, Korean Society of Thyroid Radiology; K-TIRADS, Korean thyroid imaging reporting and data system; LTA, laser thermal ablation; MITT, minimally invasive treatment of thyroid; MNG, multinodular goiter; NICE, National Institute for Health and Care Excellence; RAI, radioactive iodine; TA, thermal ablation.

have reported variable efficacy of RFA for the treatment of AFTN with the improvement of thyroid function tests, discontinuation of antithyroidal medication, and scintigraphic evidence of response in 66.7%–100%,^{30,33,34} 22.7%–78.6%,^{22,34–36} and 44.4%–79.5%^{30,34} of cases, respectively.

Cesareo and colleagues published a prospective study that demonstrated that RF outcomes for AFTN were dependent on nodule size: nodules less than 12 mL had larger VRR, greater increases in TSH, and significantly higher cure rate.³⁷ Additionally, they showed higher pretreatment AFTN volume was a negative predictor for the normalization of thyroid function.³⁸ This is consistent with the Austrian guidelines that support the use of RFA in AFTN less than 15 mL.³⁹

Controversy still exists over whether initial nodule volume and the number of RFA treatments is accurate predictors of outcome as these findings have not been consistently significant.⁴⁰ Bernardi and colleagues reported that following RFA of patients with AFTN with complete normalization of thyroid function had an average of 81% volume reduction versus 68% volume reduction in those with only a partial response whereby thyroid function did not fully normalize,³⁶ suggesting that the ability to deliver complete treatment to the target nodule and not just initial nodule size is the critical factor impacting treatment outcome. This has been recognized by the Italian minimally invasive treatment of the thyroid group (MITT) group that recommends the consideration of RFA as a second-line intervention for AFTN when projected treated volume reduction will be at least 80%.¹⁹

Sung and colleagues demonstrate in a retrospective multi-institution study of 44 patients, that repeat sessions of RFA may be necessary for adequate response TSH normalization occurred in 81.7% of patients with AFTN with a mean initial nodule volume of 18.5 mL \pm 30.1 mL. Treatment required a mean of 1.8 \pm 0.9 sessions but up to 6 RFA sessions were necessary for the complete treatment of AFTN with attention to the adequate treatment of the marginal zones that could otherwise result in neoplastic regrowth of unabated tissue.³⁴

Pretoxic nodules, defined as AFTN that do not suppress surrounding thyroid tissue on scintigraphy may respond to RFA better than more overtly functional thyroid nodules; Spiezia and colleagues reported 100% of patients with pretoxic nodules were able to discontinue antithyroid drugs following RFA versus 53% with toxic nodules.³⁵ Because of these findings, the European Thyroid Association 2020 guideline supports the first-line use of RFA for the treatment of pretoxic AFTN.⁴¹

Radioactive iodine (RAI) and surgery are the most commonly recommended first-line treatments for AFTN, though RFA is now recognized as a reasonable second-line option. There are a few studies in which RFA has been compared with these standards of care. To our knowledge, only a single retrospective study of 50 nodules compares RAI and RFA for the treatment of AFTN. Nodules were treated with fixed dose of 15 mCi of RAI ($n = 25$; mean pretreatment volume 11.0 mL) or 1 session of RFA ($n = 25$; mean pretreatment volume 14.3 mL).³³ The study demonstrated 100% efficacy restoring euthyroid function in both groups however RFA demonstrated a significantly larger VRR of 76.4% compared with RAI VRR of 68.4% ($P > .05$). Hypothyroidism occurred in 20% of patients treated with RAI and none of the patients treated with RFA leading the study authors to suggest that RFA may be a preferable option for the treatment of AFTN.

A 2014 retrospective study by Bernardi and colleagues comparing RFA to surgical management of thyroid nodules included a small subset of AFTN treated by RFA versus Surgery ($n = 12$; $n = 20$, respectively). Not surprisingly, the cure rate following thyroidectomy was 100% compared with only 33% of patients whose hyperthyroidism resolved following RFA.²⁴

Predominantly cystic nodules (cystic component 50%–90%) Ethanol ablation of predominantly cystic nodules has demonstrated superior volume reduction compared with RFA, with a mean volume reduction of 81.1% versus 70.8%, respectively.⁴² And Baek and colleagues did not demonstrate the superiority of RFA to EA in predominantly cystic nodules when superiority was defined as greater than 13% difference in VRR. Data suggest that EA is preferable first-line intervention for predominantly cystic nodules.⁴³ However, RFA may be considered as a second-line option after the failure of EA.^{19,41,44} In 2011, Jang and colleagues showed that approximately a third of patients with predominantly cystic nodules, typically those with solid component greater than 20%, could be effectively treated with subsequent RFA leading to significant improvement in cosmetic and symptom scores as well as significant volume reduction of 92%, $P > .001$.⁸

Combined and simultaneous use of sclerotherapy and RFA has also been proposed. Shen and colleagues studied 119 patients with mixed solid cystic nodules treated with a combination of RFA for solid portions and polidocanol sclerotherapy in cystic portions resulting in VRR of 91.08%,⁴⁵ however the study is limited by lack of comparison to RFA or sclerotherapy only control groups.

Thyroid neoplasms Radiofrequency ablation (RFA) is also emerging as a useful tool for the management of small primary papillary thyroid cancers, follicular neoplasm, and locoregionally recurrent papillary thyroid cancer. Limited data do not support the use of RFA for the treatment of more aggressive thyroid carcinomas such as anaplastic carcinoma and medullary thyroid cancer.

Papillary thyroid cancer: The safety and efficacy of RFA for the treatment of papillary thyroid micro-carcinoma (PTMC) has been well demonstrated,^{46,47} suggesting that in addition to observational management RFA may be another useful nonsurgical alternative for management. Complete or near-complete resolution of micro-PTC has been repeatedly demonstrated^{47–49} including the treatment of bilateral PTMC foci.⁵⁰ Emerging data also show the efficacy of RFA treatment of larger primary tumors up to T1bN0.⁵¹ These studies generally include cytologically confirmed solitary papillary thyroid carcinomas without aggressive clinical and ultrasonographic features in patients not otherwise suitable for surgical intervention.⁴⁶ Though literature is limited and additional studies are necessary, it still seems that RFA can be a useful alternative to surgery for small and otherwise low-risk papillary thyroid cancers.

Recurrent papillary thyroid cancer: A retrospective study by Choi and colleagues compared RFA and repeat surgical management for the treatment of patients with fewer than 3 foci of recurrent PTC. There was no statistically significant difference in recurrence-free survival between groups; however, there was a significantly higher risk of both hypocalcemia and overall complication rate among the patients managed with surgery.⁵²

Chung and colleagues published a retrospective single institution study examining long-term outcomes among 29 patients with a total of 46 recurrent foci of PTC treated with RFA all of whom had a minimum of 5 years of posttreatment follow-up. Complete resolution was noted in 91% of cases. Though new locoregional recurrence and distant metastasis developed in 8 of 29 (28%), and 2 of 29 (7%) patients, respectively, 19 of 29 (66%) patients remained disease free with no long-term complications due to RFA at a mean of 80-months follow-up.⁵³

Chung and colleagues also performed a meta-analysis including 24 studies, 2421 patients, and 2786 thyroid nodules to determine the safety of RFA for the treatment of benign nodules and recurrent thyroid cancers. Not surprisingly, complications occurred more frequently following the treatment of recurrent cancer compared with benign nodules. The authors suggest that the absence of normal thyroid tissue

surrounding BTN and operator inexperience which could not be determined in the meta-analysis may explain the outcome differences. They nonetheless conclude that despite a slightly great risk of complication compared with the treatment of benign nodules, RFA is still a relatively safe and effective treatment of recurrent PTC.⁵⁴

Follicular neoplasm: Surgery remains the standard of care for follicular neoplasms as only histologic examination can confirm the presence or absence of capsular and vascular invasion that distinguishes follicular thyroid carcinoma (FTC) from benign follicular neoplasm. However, as most of the follicular neoplasms are histologically benign great interest in less invasive methods such as RFA despite a generally sparse and controversial literature.⁵⁵ The use of RFA for follicular adenomas was discouraged by Dobrinja and colleagues who reported treatment of 6 ultrasonographically bland follicular neoplasms ranging in size from 2 to 40 mL. Of 6 treated nodules greater than 20 mL at the time of initial treatment regrew at 6 and 24 months of follow-up and were proven to be FTC following surgical excision. They conclude that RFA may delay surgical management for patients harboring follicular thyroid cancer and question whether RFA itself might induce neoplastic transformation.⁵⁶ Conversely, Ha and colleagues reported successful management of 1 to 2 cm nodules cytologic follicular neoplasms in 10 patients who refused surgery. Outcomes included 99.5% mean volume reduction, with 8/10 nodules completely disappearing, and no recurrence by final follow averaging more than 5 years.⁵⁷ Lesion size may be the critical factor differentiating the outcomes in these 2 small studies: Histologically follicular neoplasms greater than 4 cm have been shown to have a malignancy rate of 31% versus 13% for lesions less than 4 cm ($P = .05$)⁵⁸ and Machens and colleagues previously showed that the risk of all metastasis from FTC was nearly zero in tumors < 2 cm.⁵⁹ The noted differences in size may, therefore, explain the differences in outcomes noted by Dobrinja and Ha and colleagues and may suggest that for smaller follicular neoplasms that are very unlikely to have undergone malignant transformation RFA may be effective.

Though RFA is an alluring alternative for minimally invasive treatment of smaller follicular neoplasms, data remain extremely limited, and far more study is needed before an adequately supported recommendation can be made.

Device and techniques. Please refer to the included [Appendix 1](#) for the discussion of the technique for RFA of thyroid nodules.

Laser ablation

Laser is a highly columnated, coherent, monochromatic energy source uniquely suited for thermal ablation because it can precisely deliver energy to an intended treatment target via an optical fiber. The feasibility of percutaneous laser ablation (LA) for the treatment of thyroid nodules was initially proposed by Pacella in 2000.⁶⁰

Device and technique. Please refer to the included [Appendix 1](#) for the discussion of the technique for LA of thyroid nodules.

Summary of clinical outcomes. A multicenter retrospective review by Pacella demonstrated that LA may be effectively and reproducibly provided in an ambulatory setting ($n = 1531$ patients) with a mean nodule volume reduction of $72\% \pm 11\%$ (range 48%–96%), significant improvement in both compressive and cosmetic symptoms, and a low complication rate of 0.9%.⁶¹

Clinical outcomes following LA and RFA have also been compared with determine whether one method is conclusively superior. A large meta-analysis by Trimboli included 12 studies using RFA and an additional 12 studies using LA for the treatment

of thyroid nodules.⁶² Though a greater VRR was demonstrated with RFA the authors note that marked heterogeneity in the size and type of nodules limit the ability of the study to conclude RFA is a superior method. A more recent 6-month, single-center, randomized, open-label, parallel trial compared outcomes among 60 patients with nonfunctional benign thyroid nodules (NFBTN) randomly assigned to receive either a single session of RFA or LA. A successful procedure was defined as a >50% reduction in nodule volume at 6-month and both RFA and LA demonstrated statistically identical success rates of 86.7% and 66.7%, respectively ($P = .13$). Both methods also achieved similar reductions in compressive symptoms and cosmetic score; however, RFA demonstrated a statistically superior VRR of 64.3% compared with 53.2% for LA ($P = .02$).⁶³

One group of authors has found that LA is more common in Europe but RFA is more widely used in Asia.⁶² Our experience is that clinicians that routinely offer thermal ablation treatments for thyroid nodules are increasingly migrating away from LA in favor of RFA because of its superior VRR and relative ease of use. Nonetheless, LA remains a widely used and effective thermal ablation method.

High-intensity focused ultrasound

High-intensity focused ultrasound (HIFU) is a form of thermal ablation first introduced in the 1940s when it was observed that focusing high-power ultrasound beams at a distance from a source could cause complete necrosis of tissues lying within the focus.⁶⁴ It is a noninvasive modality that uses sound waves to cause intense vibrations which, in turn, generates frictional heat to cause necrosis within the target. For the thyroid gland, the first clinical report described the successful ablation of a 1 cm toxic adenoma that became cystic 2 weeks after the application.⁶⁵ Biochemical euthyroidism was attained within 3 months and maintained for up to 18 months. The target nodule also shrank and became a tiny hypoechoic scar with no demonstrable Doppler flow. The authors concluded that HIFU might be a safe and effective treatment of small-sized toxic thyroid adenomas.

Device and technique. Please refer to the included [Appendix 1](#) for the discussion of the technique for HIFU of thyroid nodules.

Advantages and disadvantages of high-intensity focused ultrasound. One of the major advantages of HIFU ablation is that it is truly noninvasive. There is no skin or nodule puncture making infection and bleeding extremely rare. This benefit is particularly important for patients who are anticoagulated and HIFU ablation is reportedly safe to perform without withholding any of these agents before the procedure.⁶⁶ The major disadvantage of HIFU ablation is that the treatment efficacy is less predictable when compared with other forms of MITs. This is because the acoustic energy needs to propagate through multiple tissue layers before reaching the thyroid. As a result, the energy can become attenuated by the tissue layers on top of the target. HIFU ablation is less suited in patients with thick necks or deep-seated nodules because of energy attenuation. Unwanted prefocal heating to the skin, subcutaneous tissues, and muscles can also occur and both cause discomfort and reduce treatment efficacy. This is likely the main reason that treatment outcomes are variable in the literature. Fortunately, with currently available HIFU technology the frequency of skin burns has declined to 1%.^{67–69}

Summary of clinical outcomes. HIFU lacks data from high-quality prospective studies. Two systematic reviews of HIFU for benign nonfunctional nodules reported pooled volume reductions of 45% to 70% ranging from 3 to 24 months of follow-up.⁷⁰ As

with other thermal ablation techniques, there is an inverse correlation between initial nodule size and final volume reduction.^{71,72} Thyroid function is rarely affected by HIFU treatment of an isolated nodule.⁷³

Microwave ablation

Microwave ablation uses electromagnetic waves in the microwave energy spectrum (300 MHz to 300 GHz) to produce tissue-heating effects. The oscillation of polar molecules produces frictional heating, ultimately generating tissue necrosis within solid tumors.⁷⁴ Because an electromagnetic field is used instead of an electrical current, electrical conduction is not necessary. The effectiveness and safety of MWA has been demonstrated in many articles.^{75–78}

Device and technique. Please refer to the included [Appendix 1](#) for the discussion of the technique for MWA of thyroid nodules.

Advantages and disadvantages of Microwave ablation. Compared with RFA, MWA uses higher frequency waves and thus has higher speed of temperature rise and smaller ablation zones. The reduction in treatment time may be more valuable when treating larger tumors. The restricted ablation zone could help avoid injury to critical structures around the tumor. However, as a result of rapid temperature rise, the carbonization of tumor may be more severe than RFA and may block heat transmission. As a result, a moving-shot ablation technique should be used in large tumors.

Summary of clinical outcomes. A meta-analysis of 7 studies of MWA for BTN (1146 subjects and 1226 nodules) showed a pooled VRR of 63% (CI: 84.0%–100.6%, I² = 24%) at 12 months postablation.⁷⁵ Symptom and cosmetic scores were significantly lower postablation with pooled mean differences of 1.50 (CI: –0.4, 3.42) and 1.20 (CI: 0.87, 1.52), respectively. In addition, the overall complication rate was low (0%–4.6%) with voice change and hematoma being the most common major complication. A more recent meta-analysis comparing MWA and RFA for BTN demonstrated a pooled VRR of 80.0% (CI: 76.6%–83.5%, I² = 74.1%) at 12 months postablation, and noted that VRR was not statistically different at 3 and 6 months, but was lower at 12 months when compared with RFA (80.0 vs 86.2%, *P* = .036).⁷⁶

Complications and risks of minimally invasive techniques

The confines of neck anatomy and the numerous proximate critical structures such as the trachea, esophagus great vessels, nerve plexi, recurrent laryngeal nerves ([Fig. 7](#)), and parathyroid glands ([Fig. 8](#)) require the operator to have an understanding of the mechanism by which each MIT treatment is delivered as well as expertise with cervical ultrasound anatomy to avoid potentially serious complications.

A meta-analysis of randomized control trials of MIT modalities for the treatment of BTN found no significant difference in the rate of complications among the various modalities.⁴² However, some complications, for example, rare reports of inebriated sensation after EA or transient hyperthyroidism after the treated of AFTN are unique to the modality and target lesion, respectively. [Table 2](#) summarizes the side effects of MIT by modality.

Anticoagulation and procedure-related bleeding complications

Retrospective studies have led to general agreement that conventional anticoagulation/antiplatelet therapy (AC/AP) including agents such as aspirin, clopidogrel, cilostazol, or warfarin both as monotherapy and in combination may be safely continued before FNA with no statistically significant difference in the rate of postprocedural hematoma, bleeding, nondiagnostic aspirates, or other complication.^{79–83} A single report

Table 2

Reported risks of MIT by treatment modality (implied rates were not included)

Risks	Simple Aspiration	Ethanol Ablation • Cystic nodules • AFTN	RFA • Benign predominantly solid nodules • AFTN • Parathyroid • primary PTC • Recurrent PTC	Laser Ablation • Benign predominantly solid nodules • primary PTC • Recurrent PTC	HIFU • Benign predominantly solid nodules • Parathyroid	Microwave Ablation • Benign predominantly solid nodules • Cystic nodules • Parathyroid • primary PTC
Overall	1% ⁸⁶	8.2% -29% ^{87,88} 90% -100% ^{11,13}	3.3% ²⁹ 2.4% - 100% ^{34,38} 0% -10% ⁸⁹⁻⁹¹ 3.0% - 8.5% ^{47,50} 10.4% ⁵²	0% - 15% ^{35,92-94} 91.9% ⁹⁵ 8.3% ⁹⁶	0% - 31.8 % ^{69,97} 25% ⁹⁸	0% -36.3% ^{74,99} 10.3% ¹⁰⁰ 28.6% ⁷⁷ 5.2% ⁷⁸
Minor complications	1% ⁸⁶	19% -29% ^{10,88} 90% -100% ^{11,13}	1.9% ²⁹ 100% ³⁴ 2.3 - 4.1% ^{47,48}	0% - 1.6% ^{92,93} 91.9% ⁹⁵ 4.2% ⁹⁶	0% - 31.8 % ^{69,97}	0% -36.3% ^{74,99} 10.3% ¹⁰⁰ 22.9% ⁷⁷ 0.6% ⁷⁸
Pain ^a		19% -29% ^{10,88} 90% -100% ^{11,13}	2.6% ^{b,29} 100% ³⁴ 8.5% ⁵⁰	91.9% ⁹⁵		
Extra-thyroidal hematoma		0.8% - 3.9% ^{11,101}	0% - 2.1% ^{18,28} 1.6% - 2.7% ^{47,48}	0% ⁹⁵	0% ^{c 66}	36.3% ⁷⁴
Intra-nodular/ thyroid hemorrhage /hematoma	1% ⁸⁶	6.5% ⁸⁷	5% ⁸		0% ^{c 66}	10.3% ¹⁰⁰
Vomiting			0.09% - 0.6% ^{29,31}		8% ⁶⁸	
Skin burns			0.3% ²⁹ 0.8 - 1.4% ^{47,48}	0% ⁹² 4.2% ⁹⁶	0% - 31.8% ⁶⁷⁻⁶⁹	0% -10% ^{74,99}

Fever		6.0% ^{d 35} 0.02% - 8% ^{10,11}	0.3% ²⁹	2.7% ⁹⁵		27.3% ⁷⁴
Transient hyperthyroidism		0% - 3.8% ^{88,102} 0% - 38% ^{10,11}	1.3% - 2.7% ^{18,24}	1.6% ⁹³		
Vasovagal reaction			0.3% ²⁹			
Drunken sensation		1.6% ¹⁰³				
Development of thyroid autoantibody			2.3% ^{e,34}			
Major complications	0% ⁸⁶	0% - 3.4% ^{89,104} 3.2% ¹⁰¹	1.4% ²⁹ 0% - 1.4% ^{8,30,34,35,38} 0% - 10% ⁸⁹⁻⁹¹ 0.8 - 1.4% ^{47,48} 3% ^{f,52}	0% - 15% ^{35,92-94} 0% ⁹⁵ 4.2% ⁹⁶	0% - 3.9% ^{67,68,105} 25% ⁹⁸	0% - 9.1% ^{74,99,106} 5.7% ⁷⁷ 4.6% ⁷⁸
Diffuse glandular hemorrhage or airway compromising hematoma	Case reports ^{107,108}					
Hypoparathyroidism			0% ²⁸ 0% ⁵²			
Edema requiring steroid			9.1% ²²	15% ⁹²		
Nodule Rupture			0.2% - 0.5% ^{,28,29} 0.07% requiring surgical intervention ^{9,29}			Case series of 3 ¹⁰⁹
Hypothyroidism		0% ⁸⁸	0% - 0.3% ^{,26,28,29,h} 0% ³⁴ 0% ¹¹⁰	1.6% ^{i,93}	0% - 1.4% ^{73,111}	

(continued on next page)

Table 2
(continued)

Transient voice change	0% - 3.4% ^{9,87,112} 0% - 3.9% ^{11,13}	0.5% - 2.7% ^{18,24,28} 0% - 10% ⁸⁹⁻⁹¹ 0.8% - 1.4% ^{47,48} 8.3% ⁵²	0% - 8.3% ⁹²⁻⁹⁴ 0% ⁹⁵ 4.2% ⁹⁶	0% - 3.9% ^{67,68,105} 25% ⁹⁸	0% - 9.1% ^{74,99,106} 5.7% ⁷⁷ 4.6% ⁷⁸
Permanent voice change	0% ⁸⁷	0% - 0.09% ^{28,31} 0% ⁵²	0% ⁹²⁻⁹⁴ 0% ⁹⁵ 0% ^{96,113}	0% ^{67,105}	0% ^{74,99,106} 0% ⁷⁷ 0% ⁷⁸
Brachial plexus injury		0% - 0.09% ^{28,31}			
Wound infection / abscess	0% ⁸⁷ 0.8% ¹⁰¹	0.3% ²⁶	0% ⁹⁵		
Arrhythmia	1.6% ⁸⁷	TC ⁱ	0.82% ⁹³		
Thrombus of Jugular Vein	0.23% ¹¹				
Carotid a. injury		TC	TC	TC	TC
Tracheal injury	TC	AR	TC	TC	TC ¹¹⁴
Esophageal injury	TC	TC	TC	TC	TC ¹¹⁴
Spinal Accessory n. injury		TC	TC	TC	TC ¹¹⁴
sympathetic chain injury		TC		Case report ¹¹⁵	

Abbreviations: AR, anecdotal risks are nonpublished risks but are known to have occurred; TC, theoretic complications have not yet been reported in literature nor known to have occurred but thought to be possible by expert opinion.

^a The reporting of pain between studies is highly variable, ranging from reporting of all cases of intraprocedural pain regardless of severity to only pain requiring the discontinuation of procedure.

^b Pain has been reported at ablation site or radiates to head, ear, teeth, shoulder, and chest.

^c All patients in the study underwent HIFU while continuing anticoagulation or antiplatelet agent therapy.

^d Study provided combined data for cold and pretoxic/toxic nodules.

^e 1/44 patients treated with RFA for AFTN developed Tg Ab without hypothyroidism.

^f Choi and colleagues only considered voice change a major complication if persistent greater than 1 mo, leading to a discrepancy between the reported frequency of voice change and major complications.

^g Patient had an associated abscess formation.

^h Patient was noted to have TPO or Tg positivity before thermal ablation.

ⁱ RF current passes through the heart use of monopolar electrode making cardiac electrical events a theoretic concern.

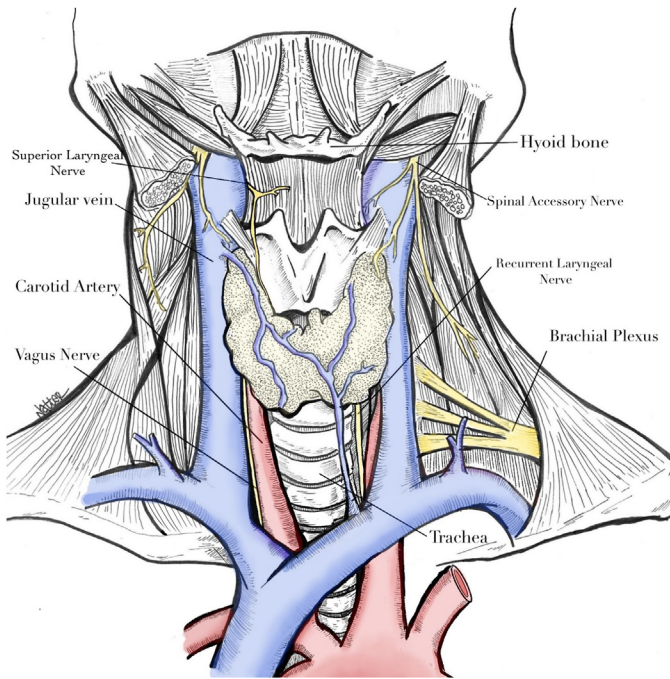


Fig. 7. At-risk structures of the neck during MIT. (Courtesy of Michael B. Natter, MD, New York, NY)

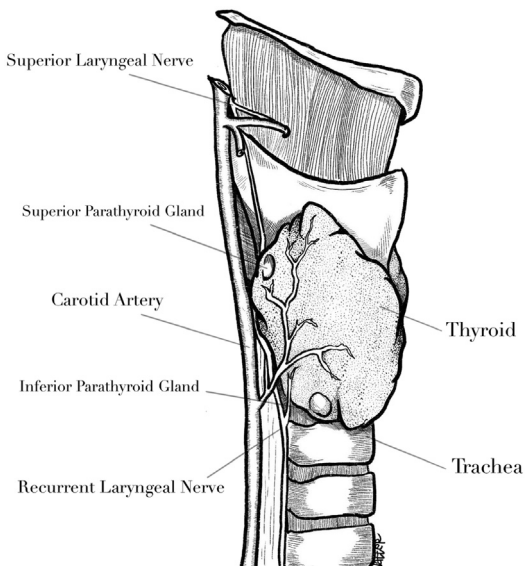


Fig. 8. Posterior view of the thyroid demonstrating at-risk structures of the neck. (Courtesy of Michael B. Natter, MD, New York, NY)

Table 3	
Timeline for the cessation of AC/AP/NOACs^{44,85} for RFA, LA or other MIT in which increased risk of bleed is perceived	
Stop Before Procedure	Restart Medication Postprocedure
ASA, clopidogrel 7–10 d	24 h
warfarin 3–5 d	12 h
4–6 h for heparin	2–6 h
NOACs 3 d	24–48 h

that makes a notable exception to these generally positive findings is a retrospective study by Khan and colleagues that found that the rate of nondiagnostic aspirates among 47 patients receiving aspirin monotherapy was approximately double that of 500 patients receiving no AC/AP: 34% and 16%, respectively.⁸⁴

We are not aware of studies that address procedure-related bleeding and nondiagnostic aspirate rates for patients treated with novel oral anticoagulants (NOACs) including dabigatran, rivaroxaban, and apixaban. However, Lyle and colleagues suggested that as the rate of bleeding complications associated with NOACs is similar to that of more conventional AC/AP for minor procedures such as dental and other minor surgeries which do not require the discontinuation of these agents, they are as safe as AC/AP and also do not require discontinuation before FNA.⁸⁵ We also agree that in routine cases, AC/AP/NOACs may be safely continued before FNA. For patients treated with warfarin, we prefer an INR of 2.5 or lower and will usually defer FNA in the case of supratherapeutic INRs.

For procedures using 19 to 21G CNB we consider the discontinuation of AC/AP/NOAC when feasible (Table 3) and when discontinuation is not considered high-risk based on the underlying indication for AC/AP/NOAC treatment. For procedures that involve repeated large bore needle insertion and movement through the target lesion such as LA and RFA AC/AP/NOACs must always be discontinued as small procedure-related hematoma in these cases is fairly common and in rare cases, clinically significant hematoma can occur. In this setting, we discontinue all AC/AP/NOACs for at least 3 days and in the select case of warfarin treatment ensure the INR is ~ 1 . In all cases, when the discontinuation of AC/AP/NOAC is required, we request direction from the AC/AP/NOAC prescriber to advise the patient regarding specific instructions for medication discontinuation.

SUMMARY

The use of MITs for the treatment of thyroid disease is an exciting and rapidly developing field. These techniques offer attractive alternatives to surgical management and RAI and are changing the treatment paradigms for thyroid disease.

As with any novel technology, proper training, familiarity and experience are necessary to minimize serious complications. In appropriately selected patients, MITs offer advantages over the traditional surgical and medical management of patients with benign and malignant thyroid disease.

CLINICS CARE POINTS

- FNA documenting benign cytology x 2 before MIT
- The presence of antithyroid antibodies before RFA is a risk factor for postprocedure hypothyroidism

- 1% lidocaine infused along the pericapsular space provides adequate local anesthesia. General anesthesia and deep sedation are not necessary and may lead to inadvertent injury by preventing the patient from reporting pain associated with procedure-related thermal and mechanical injury.
- Postprocedural ultrasound at 1, 6, and 12 months should be performed to evaluate procedural outcomes.

DISCLOSURE

The authors have nothing to disclose.

SUPPLEMENTARY DATA

Supplementary data related to this article can be found online at <https://doi.org/10.1016/j.pmr.2016.04.003>.

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