

# Breast Cancer Pathology in the Era of Genomics



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## KEYWORDS

- Breast cancer • Germline testing • Special histologic subtype • Multigene assay
- Ki67 • PD-L1 • Mutation profiling • ctDNA

## KEY POINTS

- Genomic medicine offers the potential to identify the molecular underpinnings of a patient's breast cancer guiding targeted therapeutic options.
- In early-stage breast cancer, histopathology and tumor biomarker information, supported by multigene assays, for women with ER-positive disease, are fundamental in guiding treatment.
- Genomics in breast pathology is utilized for risk stratification, tumor classification, predictive/prognostic testing, identification of actionable targets, and monitoring for disease progression or treatment resistance.

## INTRODUCTION

The era of genomic medicine provides an opportunity for pathologists to offer greater detail about the molecular underpinnings of a patient's cancer and thereby more targeted therapeutic options. For patients with breast cancer, the principal application at this time is in the advanced stage or metastatic setting. In early-stage breast cancer, routine histopathology along with breast tumor biomarker information (estrogen receptor [ER], progesterone receptor [PR], and human epidermal growth factor receptor 2 [HER2]), supported by multigene assays, for women with ER-positive breast cancers, remain fundamental in guiding treatment decisions.

In this review article, the role of genomics in breast cancer pathology, as it pertains to risk management, classification of special tumor types, predictive and prognostic testing, identification of actionable therapeutic targets, and monitoring for disease progression or development of treatment resistance is discussed.

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## GERMLINE TESTING

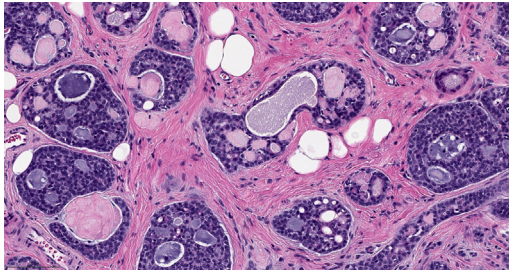
About 5% to 10% of breast cancers are hereditary, with *BRCA1* and *BRCA2* germline mutations accounting for most such cases.<sup>1</sup> Other breast cancer susceptibility genes include *PALB2*, *CHEK2*, *ATM*, *CDH1*, *PTEN*, *TP53*, and *STK11*, these being associated with lower lifetime risks for breast cancer than *BRCA1* and *BRCA2*.

The advent of multigene panel testing has enabled comprehensive detection of pathologic mutations, which can inform risk-reducing strategies, such as enhanced screening, prophylactic surgery, and chemoprevention. In addition, with the emergence of new treatment options, such as polyadenosine diphosphate-ribose polymerase (PARP) inhibitors, *BRCA* germline testing is not only a strategy for surveillance and prevention but also has become a predictive marker for PARP inhibitor treatment.<sup>2–6</sup> Furthermore, as next-generation sequencing technology is increasingly used in germline analysis, detection of germline variants beyond *BRCA1/2* is readily accomplished. Studies have shown pathogenic or likely pathogenic germline variants in 17% of patients with advanced cancer, including therapeutically actionable germline alterations in 8% of patients.<sup>7,8</sup> Thus, in patients with advanced cancer, germline sequencing analysis could have a complementary role to tumor sequencing analysis for therapy selection.

## TUMOR CLASSIFICATION

In patients with newly diagnosed breast carcinoma, accurate categorization of tumor type, grade, and biomarker status, along with tumor size, the presence or absence of lymphovascular invasion, and axillary lymph node metastases, guide management. Most breast carcinomas are invasive carcinomas of no special type (NST, also known as invasive ductal carcinoma). Approximately 10% to 15% of breast carcinomas are invasive lobular carcinomas, with the remaining 5% together comprising the special histologic subtypes, such as tubular, mucinous, invasive cribriform, and invasive micropapillary carcinomas, among others. Almost 70% of breast carcinomas are ER positive; 15% to 20% demonstrate HER2 overexpression/amplification and 10% are ER, PR, and HER2 negative (triple negative). Genomic testing is not necessary for the diagnosis and management of these more frequently occurring carcinomas, and molecular subtyping with assays such as the PAM50 to provide the intrinsic tumor subtype (luminal A or B, HER2 enriched, or basal) are not indicated in routine clinical practice. Broadly speaking, ER-positive, HER2-negative tumors, or luminal-like carcinomas, have a more indolent clinical course than HER2-positive or triple-negative carcinomas (which overlap with basal-like).

As will be discussed in a later section, multigene assays, in conjunction with clinical and pathologic features, are used to guide the need for adjuvant chemotherapy in women with early-stage ER-positive breast cancer; patients with HER2-positive disease or triple-negative carcinomas (NST) receive adjuvant or neoadjuvant chemotherapy regimens. There are, however, some special subtypes of ER-, PR-, and HER2-negative breast cancers that have a more indolent clinical course, and for those patients, chemotherapy is not indicated. It is this small subset of triple-negative tumors for which genomic assays may be helpful to ensure accurate tumor classification so as to avoid overtreatment with chemotherapy. Increasingly, antibodies are being made available to some of the fusion proteins resulting from gene rearrangements present in various cancers auguring in the advent of molecular immunohistochemistry as a more readily accessible and affordable diagnostic tool.<sup>9</sup>



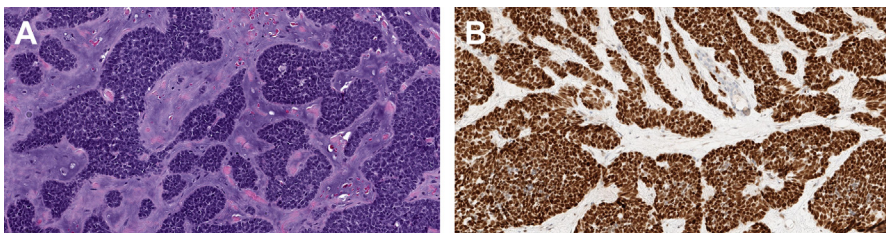
**Fig. 1.** Adenoid cystic carcinoma. In this conventional adenoid cystic carcinoma, the tumor is readily recognized by its cribriform growth pattern, the presence of a mixed population of epithelial and myoepithelial cells, and the deposition of basement membrane material in “pseudolumens.”

### ADENOID CYSTIC CARCINOMA

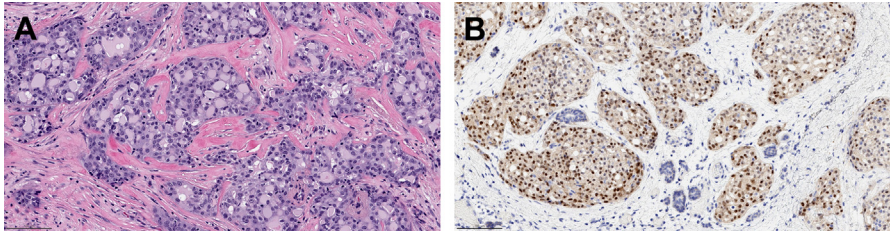
Adenoid cystic carcinoma is an uncommon breast cancer type comprising less than 1% of all breast carcinomas. In most cases, the histologic pattern of this tumor is readily recognizable, being composed of both epithelial and myoepithelial cells arrayed in a cribriform or trabecular pattern with the production of basement membrane material contained within pseudolumens created by the myoepithelial cells (**Fig. 1**). In addition to these morphologically characteristic patterns, there is a solid, basaloid variant that bears greater resemblance to conventional high-grade triple-negative breast cancer and that may be difficult to distinguish on microscopic examination alone (**Fig. 2**).<sup>10–14</sup>

The molecular alteration characteristic of adenoid cystic carcinoma is translocation and fusion of *MYB* or *MYBL1* with either *NFIB* or other gene partners.<sup>15,16</sup> The resulting gene fusion can be identified through cytogenetic analysis using a dual break-apart probe to *MYB* or through sequencing analysis. An immunohistochemical assay using an *MYB* antibody is also available, but although sensitive, this is less specific (see **Fig. 2**).<sup>17,18</sup>

Although most adenoid cystic carcinomas are considered low grade and have an indolent clinical course, the solid basaloid variant often demonstrates high nuclear grade and may have zones of necrosis prompting concern for more aggressive behavior.<sup>12,14</sup> There are insufficient data on the outcome of this particular variant of adenoid cystic carcinoma to inform a specific management recommendation, but



**Fig. 2.** Solid basaloid variant of adenoid cystic carcinoma. (A) This variant of adenoid cystic carcinoma can be more challenging to recognize, given the solid growth pattern, the often higher grade nuclei and the relative absence of obvious basement membrane material (hematoxylin and eosin stain). (B) MYB immunostain. Nuclear expression of MYB can be helpful in supporting the diagnosis.



**Fig. 3.** Secretory carcinoma. (A) Secretory carcinoma can be a mimic for other types of breast carcinoma. Here the tumor displays a microcystic or cribriform type growth pattern, with luminal secretions. The tumor cells are relatively bland. (B) pan-TRK immunostain. Nuclear positivity is supportive of the diagnosis of secretory carcinoma.

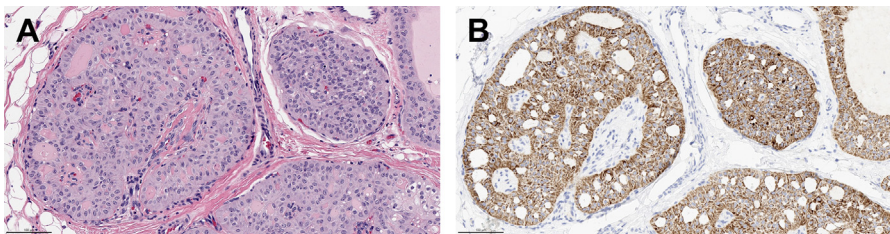
accurate diagnostic categorization will help build knowledge on the prognosis of this tumor for future treatment decisions.

### SECRETORY CARCINOMA

Secretory carcinoma is another uncommon triple-negative (or low ER-positive) tumor subtype with indolent behavior. It has a particular predisposition for occurring in children, although a broad age range of individuals may be affected. There are characteristic morphologic features that should raise the pathologic differential diagnostic consideration of secretory carcinoma, such as cells with finely vacuolated cytoplasm, the presence of secretions in the ductal lumens, and bland tumor cell nuclei (Fig. 3); however, the architectural growth pattern can vary considerably (circumscribed, solid, microcystic, infiltrative) and the occasional presence of a central scar or sclerotic area can confound. Again, in adult women, the diagnosis of a triple negative breast cancer without the qualifier of this special histologic subtype may result in overtreatment.

An *ETV6::NTRK3* gene fusion characterizes secretory carcinoma.<sup>19</sup> As with the *MYB::NFIB* gene fusion in adenoid cystic carcinoma, this gene fusion can be identified through cytogenetic analysis with a dual break-apart probe to *ETV6* or *NTRK3*. A pan-TRK immunohistochemical antibody is available and can be used to screen for this tumor subtype (see Fig. 3).<sup>20,21</sup> This, in conjunction with confirmation of the *ETV6::NTRK3* gene fusion either with in situ hybridization or sequencing analysis, can be used to support diagnosis and treatment decisions.

Most patients with secretory carcinoma are managed with surgical excision alone. Rare cases of recurrence and late metastases have been reported.<sup>22</sup> Such patients have been demonstrated to benefit from treatment with pan-TRK inhibitors<sup>23</sup> emphasizing the potential role for molecular analysis in therapeutic decision-making.



**Fig. 4.** Tall-cell carcinoma with reversed polarity. (A) The characteristic features of this tumor: solid papillary tumor cell nests, with cells of low-grade cytology and reversed polarity. (B) If needed, an IDH2 R172 immunostain can confirm the diagnosis.



## TALL-CELL CARCINOMA WITH REVERSED POLARITY

Tall-cell carcinoma with reversed polarity is a relatively recently described entity characterized by an *IDH2* mutation (~80% of cases) and *PIK3CA* or *PIK3R1* mutations (up to 60% of cases).<sup>24</sup> These tumors most commonly occur in postmenopausal women and have an indolent clinical course, with lymph node metastases only rarely described. Microscopically, the tumor is composed of solid, papillary tumor cell nests of tall cells with reversed polarity (ie, the nucleus is located at the apical rather than the basal aspect of the cell), conferring a striking morphologic appearance (Fig. 4). The nuclei are low grade. The tumor is usually ER, PR, and HER2 negative; occasionally low ER positivity is reported.

With greater recognition of this tumor entity, the special histologic subtype should be provided so as to prevent the patient being treated as having a triple-negative breast cancer, NST. Mutation profiling may be used to identify the *IDH2* R172 mutation pathognomonic of this tumor. There is also an *IDH2* R172 antibody that can be used for the immunohistochemical evaluation of this tumor (see Fig. 4).<sup>25</sup>

The limited data available suggest this tumor may be managed with surgical excision alone.

## ADENOMYOEPITHELIOMA/MALIGNANT ADENOMYOEPITHELIOMA

Adenomyoepithelioma is a rare benign epithelial-myoepithelial tumor. Exceptionally, malignant transformation may occur. New data suggest that ER-negative adenomyoepitheliomas are more likely to harbor *HRAS* mutations, and it is these tumors that seem to have the greatest propensity for malignant transformation.<sup>26,27</sup> This observation suggests the possibility of using mutation profiling to identify which patients may be at risk for the development of carcinoma in this setting, thereby dictating a more stringent follow-up protocol. As with *MYB* and *IDH2* R172, there is an antibody to *NRAS* Q61R that also recognizes *HRAS* Q61R and *KRAS* Q61R; however, experience with its use as a diagnostic or prognostic tool is limited at this time.<sup>28</sup>

ER-positive adenomyoepitheliomas are more likely to demonstrate *PIK3CA* mutations and do not seem to have the same risk as their ER-negative counterparts, albeit with limited data.<sup>26</sup>

## PROGNOSTIC AND PREDICTIVE TESTING

For early-stage ER-positive/HER2-negative invasive breast carcinoma, the decision whether to give adjuvant chemotherapy hinges on the risk of distant recurrence. Several multigene assays have been developed to estimate this risk, including the 21-gene recurrence score assay (Oncotype Dx),<sup>29</sup> the 70-gene signature (MammaPrint),<sup>30</sup> the 50-gene assay (PAM50, Prosigna),<sup>31</sup> the 12-gene assay (EndoPredict),<sup>32</sup> and the Breast Cancer Index (BCI).<sup>33</sup> All assays are prognostic, providing an estimate of the risk of distant relapse. The 21-gene assay is both prognostic and predictive of chemotherapy benefit. These assays have been endorsed by the National Comprehensive Cancer Network (NCCN),<sup>34</sup> the American Society of Clinical Oncology (ASCO),<sup>35–38</sup> and St Gallen<sup>39–41</sup> guidelines for adjuvant treatment decisions in patients with early-stage, hormone receptor-positive breast cancer. The 21-gene assay is included in the prognostic staging in the AJCC Cancer Staging 8th Edition.<sup>42</sup> Of these multigene assays, the 21-gene recurrence score assay and the 70-gene signature assay are supported by level I clinical evidence, discussed later in detail.

### **21-Gene Recurrence Score Assay (Oncotype Dx)**

The 21-gene recurrence score assay is a reverse transcriptase polymerase chain reaction (RT-PCR)-based test. The gene panel includes 16 cancer-related genes and 5 reference genes.<sup>29</sup> Derived recurrence scores range from 0 to 100, a higher score indicating a greater risk of recurrence. In the original publication, the cutoff points to classify low-, intermediate-, and high-risk groups were recurrence scores of less than 18, 18 to 30, and greater than or equal to 31, respectively.<sup>29</sup> These scores were later modified to recurrence scores of 0 to 10, 11 to 25, and greater than 25 in the prospective clinical trials.<sup>43–45</sup> The Trial Assigning Individualized Options for Treatment (TAILORx), a prospective randomized trial, found no benefit to chemotherapy in patients with early-stage ER-positive, HER2-negative, node-negative breast cancer with recurrence scores between 0 and 25, with the exception of young patients ( $\leq 50$  yrs) with recurrence scores of 16 to 25 who were shown to derive some benefit from chemotherapy.<sup>43,44</sup> The RxPONDER (Rx for Positive Node, Endocrine Responsive Breast Cancer) trial further validated the utility of the 21-gene recurrence score in patients with node-positive disease, demonstrating that postmenopausal patients with ER-positive, HER2-negative breast cancer with 1 to 3 positive lymph nodes and recurrence scores between 0 and 25 could be treated with endocrine therapy alone.<sup>45</sup> In contrast, premenopausal patients with 1 to 3 positive lymph nodes derived significant benefit from chemotherapy even in the setting of low recurrence scores.<sup>45</sup> The 21-gene recurrence score assay has had significant impact on adjuvant chemotherapy decisions (see separate article in this issue of the Clinics).

A significant association was also observed between the 21-gene recurrence score and the risk of locoregional recurrence (LRR) in both node-negative and node-positive patients.<sup>46–48</sup> The potential application of the 21-gene recurrence score for locoregional therapy decision-making in patients with early-stage ER-positive, HER2-negative breast cancer is under active investigation.

### **70-Gene Signature Assay (MammaPrint)**

The 70-gene signature assay is a DNA microarray-based assay.<sup>49</sup> Multivariate analysis showed it to be an independent factor in predicting disease outcome in both patients with node-negative and those with node-positive breast cancer in a retrospective cohort.<sup>30</sup> Its clinical utility was validated in a prospective randomized phase 3 trial, the Microarray in Node-Negative and 1 to 3 Positive Lymph Node Disease May Avoid Chemotherapy (EORTC 10041/BIG 3-04 MINDACT).<sup>50</sup> The study assessed both the genomic risk (using the 70-gene signature) and the clinical risk (using a modified version of Adjuvant! Online). Patients with discordant clinical and genomic risks (low clinical risk/high genomic risk or high clinical risk/low genomic risk) were randomized to chemotherapy or no chemotherapy. It was found that patients with high clinical risk and low genomic risk had similar 5-year distant recurrence-free survival with or without adjuvant chemotherapy.<sup>50</sup> In patients with low clinical risk, genomic testing provided no added value as there was no significant benefit from the use of adjuvant chemotherapy regardless of genomic risk.<sup>50</sup> Thus, the 70-gene signature is of greatest value among patients with high clinical risk in whom its use led to a 46% reduction in the administration of adjuvant chemotherapy.<sup>50</sup>

### **The Integration of Genomic and Clinical Information in Prognostic Estimates**

As described earlier for the MINDACT trial, genomic testing is best used in combination with clinicopathologic factors.<sup>50</sup> In fact, secondary analyses of the TAILORx trial found that incorporation of clinical risk stratification based on tumor size and

histologic grade added prognostic information to the 21-gene recurrence score.<sup>51</sup> A new tool, RSClin, which integrates the 21-gene recurrence score and selected clinical-pathological features (tumor grade, tumor size, and age), has been shown to provide more accurate prognostic information than recurrence score or clinicopathologic factors alone.<sup>52</sup>

### ***Favorable Histologic Subtypes***

Several special histologic subtypes of invasive breast cancer such as tubular carcinoma, cribriform carcinoma, pure mucinous carcinoma, encapsulated papillary carcinoma, and solid papillary carcinoma are associated with favorable prognoses. There are no data addressing whether multigene assays provide additional prognostic and predictive information in patients with these favorable histologic subtypes. Retrospective analysis of such cases has demonstrated the 21-gene recurrence scores to be lower than those of conventional invasive ductal carcinomas, high-risk recurrence scores being less frequently identified, in line with their favorable histology.<sup>53,54</sup> A high-risk recurrence score in any of these special histologic subtypes should prompt a careful pathologic review to confirm the diagnosis and identify tissue factors in the tumor sample that may have influenced the results.

### ***Multigene Assays and Biomarker Assessment***

ER, PR, and HER2 are among the 16 cancer-related genes assessed in the 21-gene assay. The 70-gene signature assay and PAM50 report gene expression-based "intrinsic" subtypes (luminal A, luminal B, HER2-enriched, and basal-like). However, these assays are not recommended as primary screening tests for biomarker assessment due to the lack of clinical validation supporting their utility in identifying patients for endocrine or HER2-targeted therapy. Validated immunohistochemistry (IHC) and/or in situ hybridization (ISH) remain the recommended standard tests for ER, PR, and HER2 in breast cancer according to ASCO/CAP guidelines.<sup>55,56</sup> Although a high concordance between standard IHC/ISH and the 21-gene RT-PCR assay for ER and PR status was observed,<sup>57–60</sup> a substantial false-negative rate for HER2 status by RT-PCR has been reported.<sup>59–61</sup> This discordance likely reflects a dilutional effect from contaminating nonneoplastic tissue such as normal breast epithelium, stroma, and tumor infiltrating lymphocytes, an inherent disadvantage of mRNA-based assays compared with IHC/ISH on intact tissue sections.

### **Ki67**

Although not genomic in nature, Ki67 assessment is briefly discussed here as a matter of interest.

Ki67 is a marker of cell proliferation. The Ki67 labeling index as assessed by immunohistochemistry is an established prognostic and predictive marker in early-stage breast cancer.<sup>62</sup> However, its clinical utility is limited due to the lack of interobserver and interlaboratory reproducibility and the lack of a standardized cutoff. A Ki67 index cutoff point of 14% was selected to distinguish between luminal A and luminal B breast cancer intrinsic subtype based on analysis of a cohort of breast cancers classified by PAM50.<sup>63</sup> The 14% cutoff was adopted by the 2011 St Gallen International Breast Cancer Consensus Guideline<sup>64</sup>; however, this was changed to 20% in the 2013 Guideline.<sup>65</sup> The 2021 St Gallen Consensus Conference endorsed the recent International Ki67 in Breast Cancer Working Group (IKWG) recommendation using Ki67 less than or equal to 5% (very low) or Ki67 greater than or equal to 30% (very high) to estimate prognosis and guide chemotherapy,<sup>66</sup> but more than one-third of the panel

voted “Ki67 threshold not known”<sup>41</sup>, highlighting the lack of consensus that complicates use of Ki67 to guide therapy.

The IKWG recommendations set forth preanalytic requirements and a standardized visual scoring method to ensure uniform performance and interpretation of immunohistochemistry for Ki67.<sup>66</sup> Ki67 assessment is recommended only for hormone receptor-positive, HER2-negative early-stage breast cancer with Ki67 cutoffs of less than or equal to 5% or greater than or equal to 30%, as noted above. Even with careful attention to preanalytic issues and standardized scoring methods, there is still substantial interobserver/interlaboratory variability when Ki67 is in the greater than 5% and less than 30% range, limiting clinical applicability.

Recently, Ki67 assessment has been used to select patients for abemaciclib therapy. The Food and Drug Administration (FDA) approved abemaciclib, a CDK4/6 inhibitor, combined with endocrine therapy for hormone receptor-positive, HER2-negative, node-positive, high-risk early breast cancer with Ki67 greater than or equal to 20%. The approval was based on the MonarchE trial<sup>67</sup> but limited to a subset of patients with high recurrence risk and Ki67 greater than or equal to 20%. The FDA also approved the Ki-67 IHC MIB-1 pharmDx (Dako Omnis) assay as a companion diagnostic test for this indication. Updated analysis of the MonarchE study found Ki67 to be prognostic but not predictive.<sup>68</sup> Abemaciclib benefit was observed regardless of Ki67 status. The ASCO-updated recommendations broadened the application to patients with either 4 or more positive axillary lymph nodes or 1 to 3 positive axillary lymph nodes and either grade 3 disease, tumor size greater than or equal to 5 cm, or Ki67 greater than or equal to 20%, in keeping with the MonarchE trial design.<sup>67</sup>

For the aforementioned reasons, there is wide variation in utilization of Ki67 testing in breast cancer among pathology laboratories. Automated scoring by digital image analysis is still investigational but holds the promise of improving agreement and throughput, which is reported to take an average of 9 minutes/case using the IKWG recommended manual scoring method.

## TUMOR-INFILTRATING LYMPHOCYTES

The prognostic and predictive value of tumor-infiltrating lymphocytes (TILs) is well established. An increased level of TILs is an independent predictor of response to neoadjuvant chemotherapy in all breast cancer subtypes.<sup>69,70</sup> In triple-negative breast cancer, high-level TILs are associated with better prognosis.<sup>71,72</sup> The presence of TILs is also associated with response to immunotherapy with programmed cell death ligand 1 (PD1/PD-L1) inhibitors. The percentage of stromal TILs is scored as the area of tumor stroma occupied by mononuclear inflammatory cells over total intratumoral stromal area, according to the recommendations by the International TILs Working Group.<sup>73</sup> The percentage of stromal TILs is a continuous variable, ranging from 0% to 100%. Every 10% increment in stromal TILs corresponds to an improved outcome.<sup>69,72</sup> Different studies used different cutoffs of stromal TILs in data analysis. Early studies used cutoffs of 60% or 50% TILs to define lymphocyte-predominant breast cancer.<sup>69,71</sup> Proposed TILs cutoffs of 30%, 20%, 10%, and even 5% have also been used.<sup>74</sup> There are currently no recommendations for a clinically relevant threshold and, therefore, scoring TILs is not implemented in daily practice outside of research or clinical trial settings.

## TREATMENT DECISIONS

As noted in the introduction, genomic testing of breast cancers to identify actionable targets, with the exception of the few special histologic subtypes discussed earlier,



currently applies to the advanced stage or metastatic settings. Sequencing assays using tumor tissue are required to identify mutations, such as *ESR1*, *PIK3CA*, and *AKT1*. However, early detection evaluating circulating tumor cells may eliminate the need for a biopsy of the metastatic site (see later section and separate article in this issue of the Clinics).

Knowledge bases such as OncoKB that annotate somatic mutations for clinical significance offer the promise of personalized treatment options based on the cancer genome.<sup>75</sup>

## IMMUNE CHECKPOINT INHIBITORS AND PD-L1 TESTING

Evaluation of the tumor for susceptibility to immune checkpoint inhibitors, such as pembrolizumab, is often requested in patients with advanced or metastatic triple-negative breast cancer. It is important to know that the drugs are approved for use in patients with tumors demonstrated to express PD-L1 using the appropriate FDA-approved companion diagnostic assay (Table 1). Each drug requires a different assay; each assay a specific vendor platform and antibody; and each antibody a different scoring system and different thresholds of positivity. Needless to say, this presents considerable challenges even for larger pathology laboratories in academic medical centers, as validation across platforms is not straightforward, and the relative infrequency of test interpretation makes maintaining proficiency and reproducibility difficult.<sup>76,77</sup> In spite of these hurdles, pathologists are committed to providing the information needed to care for patients with breast cancer, either with an in-house test option or by sending a tissue block to a reference laboratory. It is incumbent on both pathologists and oncologists to understand which test is indicated and to know what assay to order. That said, pembrolizumab was recently approved for high-risk early-stage triple-negative breast cancer in combination with chemotherapy as neoadjuvant treatment regardless of PD-L1 expression.<sup>78</sup>

Additional indicators of susceptibility to immune checkpoint inhibitors include tumor mutational burden, microsatellite instability, and mismatch repair defects. Most breast cancers have a low mutational burden, and microsatellite instability and mismatch repair defects are uncommon.<sup>79</sup> However, any opportunity to provide treatment benefit in patients with metastatic disease is invariably sought in the appropriate clinical setting. Tumor mutational burden information is provided with sequencing assays along with any specific somatic and/or genomic alterations present.

**Table 1**  
Food and Drug Administration–approved companion diagnostic assays for programmed cell death ligand 1 in breast cancer

	SP142 <sup>a</sup>	22C3 pharmDx
Immunotherapy	Atezolizumab	Pembrolizumab
Platform	Ventana BenchMark	DAKO
Scoring methods	Immune cells (IC)	Combined positive score (CPS)
Positivity definition	IC $\geq 1\%$	CPS $\geq 10$
Clinical trial	IMpassion 130	KEYNOTE-355
Breast cancer subtype	Locally advanced or metastatic TNBC	Locally advanced or metastatic TNBC
Chemotherapy	Nab-paclitaxel	Taxane or gemcitabine-carboplatin

**Abbreviation:** TNBC, triple-negative breast cancer.

<sup>a</sup> Indication since withdrawn.

## ESR1 MUTATIONS

The development of endocrine therapy resistance secondary to somatic alterations such as *ESR1* mutations in women with ER-positive metastatic breast cancer is a treatment challenge, particularly among those treated with aromatase inhibitors in this setting<sup>80,81</sup>; this seems to be less of an issue in primary ER-positive breast cancers, but emerging evidence suggests the presence of *ESR1* mutations in women with early-stage disease is associated with poorer disease-free and overall survival.<sup>82</sup> Despite being an established mechanism of endocrine resistance, *ESR1* mutations are not used as a biomarker to guide endocrine therapy, as current practice is to switch to fulvestrant after disease progression on aromatase inhibitors regardless of *ESR1* mutation status. The ASCO guideline does not recommend routine testing for *ESR1* mutations for hormonal receptor-positive, HER2-negative metastatic breast cancer.<sup>83</sup>

## HER2 OVEREXPRESSION, AMPLIFICATION, AND MUTATION

HER2 overexpression and/or amplification is determined at the time of primary diagnosis, with approximately 15% to 20% of breast cancers being classified as HER2 “positive” and eligible for HER2-targeted therapies. Until recently, women with tumors lacking HER2 overexpression or amplification were ineligible for these therapeutic agents. However, emerging data demonstrating improved outcomes with the antibody drug conjugate trastuzumab deruxtecan (T-DXd) for patients with HER2 IHC 1+ and HER2 2+, fluorescence in situ hybridization nonamplified tumors have prompted reevaluation of how HER2 IHC-negative tumors are categorized, that is, the need for stricter attention to the separation of 0 and 1+ cases, as there are now treatment implications for this group.<sup>84,85</sup>

Further opportunities for HER2-targeted therapy in patients without demonstrated HER2 overexpression or amplification have been identified among patients with tumors harboring *HER2* mutations.<sup>33</sup> Activating *HER2* mutations occur at a frequency of 2% to 3% overall in primary breast cancers with a particular preponderance seen in invasive lobular carcinomas (~8%). The pan-HER inhibitor neratinib has been shown to provide a clinical benefit rate of 31% in a pretreated population of patients with metastatic breast cancer.<sup>33,86</sup>

## PIK3CA MUTATIONS FOR PI3K INHIBITOR TREATMENT

In the advanced stage or metastatic setting, identification of patients whose tumors harbor *PIK3CA* mutations offers the opportunity for treatment with the *PIK3CA* inhibitor, alpelisib, in combination with fulvestrant for hormonal receptor-positive/HER2-negative advanced breast cancer in postmenopausal women, or in male patients.<sup>87</sup> *PIK3CA* mutations are identified in up to 45% of patients with ER+, HER2-negative advanced breast cancer<sup>88</sup> and therefore offer a large potential pool of patients who may benefit from this therapy. Furthermore, trials exploring efficacy of alpelisib in patients with *PIK3CA*-mutated *HER2*+ breast cancer are ongoing.<sup>89</sup>

## CIRCULATING TUMOR DNA

Circulating tumor DNA (ctDNA) is tumor-derived fragmented DNA present in the bloodstream. The sampling and analysis of ctDNA, also known as “liquid biopsy,” offers a minimally invasive approach to genomic profiling and disease monitoring. The FDA approved the liquid biopsy next-generation sequencing (NGS)-based FoundationOne Liquid CDx test as a companion diagnostic device for specific indications, including the identification of *PIK3CA* mutations in breast cancer for treatment with alpelisib.<sup>87</sup>

The plasmaMATCH trial, a prospective trial evaluating the sensitivity of ctDNA to identify actionable mutations in advanced breast cancer, found that the agreement for mutation identification between ctDNA digital PCR and targeted sequencing using tissue biopsies was 96% to 99%.<sup>90</sup> Analytical validation of MSK-ACCESS (Memorial Sloan Kettering—Analysis of Circulating cfDNA to Examine Somatic Status), an institutional NGS platform for detection of somatic alterations in 129 genes in cell-free DNA, demonstrated 92% de novo sensitivity and 99% specificity.<sup>91</sup> Liquid biopsy does not replace tissue biopsy, given the importance of histology diagnosis, but potentially provides a valid alternative sampling strategy, especially when the metastatic site is not amenable for biopsy or a tissue sample obtained is not suitable for molecular analysis.

Multiple studies have demonstrated the potential utility of ctDNA in prognostication and in monitoring treatment response and disease progression in advanced breast cancer.<sup>92–101</sup> However, data are limited to retrospective analyses of prospective trials. In patients with early-stage breast cancer treated with neoadjuvant therapy, detection of ctDNA was associated with poor response and disease recurrence.<sup>102,103</sup> In a prospective multicenter study, detection of ctDNA was associated with relapse in early-stage breast cancer after ostensibly curative therapy, with ctDNA being detected at a median lead time of 10.7 months before clinical relapse.<sup>104</sup> There are no data to demonstrate that clinical intervention following early detection of molecular residual disease translates into improved patient outcome, limiting practical utility at this time. As such, current ASCO and NCCN guidelines do not recommend the use of ctDNA to guide adjuvant therapy in early-stage breast cancer or disease assessment and monitoring in the metastatic setting.<sup>38</sup>

## THE UTILITY OF GENOMIC ANALYSIS IN RESOLVING DIAGNOSTIC DILEMMAS

In addition to identifying actionable somatic mutations and predisposing germline variants, genomic analysis can assist in diagnosis when definitive tumor classification cannot be accomplished based on histology and immunohistochemistry. A common clinical dilemma is the determination of primary tumor site in patients presenting with metastatic disease. For patients with a prior history of carcinoma, comparative genomic analysis of paired primary and metastatic tumor samples can determine whether a clonal relationship exists. Genomic comparison can also distinguish local recurrences from new primary carcinomas in patients with prior breast cancer. More challenging are situations in which the patient's history is noncontributory or a primary tumor sample is unavailable for comparison. Certain genomic alterations or combined patterns of mutation are associated with specific tumor types and help to predict tumor origin: examples include *APC* loss-of-function mutations in colorectal cancers, *TMPRSS2::ERG* fusions in prostate cancers, an ultraviolet-associated mutational signature of C > T substitutions in cutaneous melanomas, and the co-occurrence of *TP53* and *CTNNB1* mutations in endometrial cancer.<sup>105</sup> Breast carcinomas, except for certain special histologic subtypes, do not have unique genomic alterations. However, the absence of certain common mutations may be informative. For example, *EGRF* or *KRAS* mutations favor a carcinoma of pulmonary over mammary origin. Penson and colleagues reported a machine learning approach to the prediction of tumor type using genomic data.<sup>105</sup> The correct tumor type was predicted for 73.8% of 7791 patients in the training set and 74.1% of 11,644 patients in an independent cohort.<sup>105</sup> The performance was highest in tumor types with distinctive molecular profiles, such as uveal melanoma, glioma, and colorectal cancer, whereas lowest in esophagogastric, ovarian, and head and neck cancers due to

molecular heterogeneity among these tumors and the lack of distinguishing genomic alterations.<sup>105</sup> The algorithm identified carcinomas of mammary type with sensitivity and specificity values of 0.876 and 0.761, respectively, in 1181 patients with breast cancer included in the study cohort.<sup>105</sup>

## SUMMARY

It is now well recognized that breast cancer is a heterogeneous disease. Optimal treatment is dictated by tumor biology and a multidisciplinary approach. Advances in genomics have further improved our understanding of breast cancer biology, with robust genomic assays becoming more easily accessible and being increasingly used in daily practice to assist in diagnosis, classification, risk stratification, and the detection of relevant germline mutations and actionable targets. For early-stage breast cancer, treatment is mainly informed by conventional clinicopathologic factors and biomarkers (ER, PR, HER2) using immunohistochemistry and/or in situ hybridization. Systemic therapy is largely driven by histology and receptor subtype. For early-stage hormonal receptor-positive, HER2-negative breast cancer, the use of multigene assays is well established for risk stratification and treatment escalation/deescalation. For advanced breast cancer, transcriptomic, genomic, epigenomic, and proteomic landscapes may inform personalized treatment options. The translation of such data into individualized treatment plans conferring survival benefits is the challenge ahead.

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