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# Signals of Significantly Increased Vaccine Breakthrough, Decreased Hospitalization Rates, and Less Severe Disease in Patients with Coronavirus Disease 2019 Caused by the Omicron Variant of Severe Acute Respiratory Syndrome Coronavirus 2 in **Q2Q1** Houston, Texas

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Genetic variants of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) continue to dramatically alter the landscape of the coronavirus disease 2019 (COVID-19) pandemic. The recently described variant of concern designated Omicron (B.1.1.529) has rapidly spread worldwide and is now Q5 responsible for the majority of COVID-19 cases in many countries. Because Omicron was recognized recently, many knowledge gaps exist about its epidemiology, clinical severity, and disease course. A genome sequencing study of SARS-CoV-2 in the Houston Methodist health care system identified 4468 symptomatic patients with infections caused by Omicron from late November 2021 through January 5, 2022. Omicron rapidly increased in only 3 weeks to cause 90% of all new COVID-19 cases, and at the end of the study period caused 98% of new cases. Compared with patients infected with either Alpha or Delta variants in our health care system, Omicron patients were significantly younger, had significantly Q6 increased vaccine breakthrough rates, and were significantly less likely to be hospitalized. Omicron patients required less intense respiratory support and had a shorter length of hospital stay, consistent with on average decreased disease severity. Two patients with Omicron stealth sublineage BA.2 also were identified. The data document the unusually rapid spread and increased occurrence of COVID-19 caused by the Omicron variant in metropolitan Houston, Texas, and address the lack of information about disease character among US patients. (Am J Pathol 2022, **1**: 1-11; https://doi.org/10.1016/ *i.aipath.2022.01.007*)

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125 Q7 Over the past 14 months, the Alpha and Delta variants of 126 concern (VOCs) of severe acute respiratory syndrome coro-127 navirus 2 (SARS-CoV-2) have caused two distinct corona-128 virus disease 2019 (COVID-19) disease surges in the United 129 States, Southeast Asia, Europe, and elsewhere (https://www. 130 cdc.gov/coronavirus/2019-ncov/cases-updates/variant-131 surveillance/variant-info.html, last accessed December 132 30, 2021; https://www.gov.uk/government/collections/ 133 new-sars-cov-2-variant, last accessed December 30, 134 135 2021), and remodeled the landscape of human behavior 136 and many societies. Delta replaced the Alpha variant as 137 the cause of virtually all COVID-19 in many countries 138 (https://www.who.int/publications/m/item/weekly-epidemio 139 logical-update-on-covid-19-13-july-2021, last accessed 140 August 18, 2021; https://www.ons.gov.uk/peoplepopulati 141 onandcommunity/healthandsocialcare/conditionsanddise 142 ases/bulletins/coronaviruscovid19infectionsurveypilot/ 143 9july2021, last accessed August 18, 2021). 144

At the start of the pandemic almost 2 years ago, the 145 Houston Methodist health care system instituted a compre-146 147 hensive and integrated population genomics project 148 designed to sequence all SARS-CoV-2 samples causing 149 COVID-19 in patients cared for at our facilities, which 150 include eight hospitals located throughout the metroplex. 151 The project was implemented when the initial Houston 152 Methodist COVID-19 case was diagnosed at the end of 153 February 2020, and has continued unabated.<sup>1-7</sup> This project 154 was facilitated by the existence of a single large diagnostic 155 laboratory that serves the entire system and is seamlessly 156 integrated with a research institute with extensive genomics 157 expertise and capacity. A key goal was to comprehensively 158 159 map the population genomics, trajectory, and other features 160 of the pandemic in metropolitan Houston, Texas, with a 161 population size of approximately 7.2 million. Houston is the 162 fourth largest city in the United States, is the most ethnically 163 diverse metropolitan area in the country, and is a major port 164 of entry. To date, SARS-CoV-2 genomes have been 165 sequenced from >70,000 patient samples. Many features of 166 four distinct SARS-CoV-2 waves in Houston have been 167 described.<sup>2-6</sup> 168

The successes of rapid SARS-CoV-2 vaccine develop-169 ment and documented efficacy, coupled with the signifi-170 171 cant downturn of the disease wave caused by Delta in 172 Houston and elsewhere in fall 2021,<sup>6</sup> suggested that the 173 pandemic was abating. However, the identification of a 174 new VOC designated B.1.1.529 and known as Omicron 175 that has spread rapidly in South Africa and the United 176 Kingdom has tempered this optimism.<sup>8-10</sup> Inasmuch as 177 Omicron was recognized recently, and much is not known 178 about its epidemiology and clinical characteristics and 179 course, we used our integrated infrastructure in an effort to 180 address the lack of information available for US Omicron 181 patients. Genome sequencing identified 4468 COVID-19 182 183 patients with symptomatic disease caused by Omicron in 184 the Houston Methodist health care system beginning in 185 late November 2021 and ending January 5, 2022. In 3 186

weeks, Omicron spread throughout the Houston metropolitan region to become the cause of 90% of new COVID-19 cases, and at the end of the study period caused 98% of all new cases. Compared with patients infected with either Alpha or Delta variant and cared for in our system, significantly fewer Omicron patients were hospitalized, and those who were hospitalized required significantly less intense respiratory support and had a shorter length of stay. Our findings are consistent with decreased disease severity among Houston Methodist Omicron patients. Many factors undoubtedly have contributed, including but not limited to increased vaccination uptake, population immunity, and patient demographics, such as younger age. The extent to which our findings translate to other cities and other patient populations, including children, is unknown. These data expand on our initial Omicron work<sup>7</sup> and address the lack of information about disease character among US patients with COVID-19 caused by this VOC.

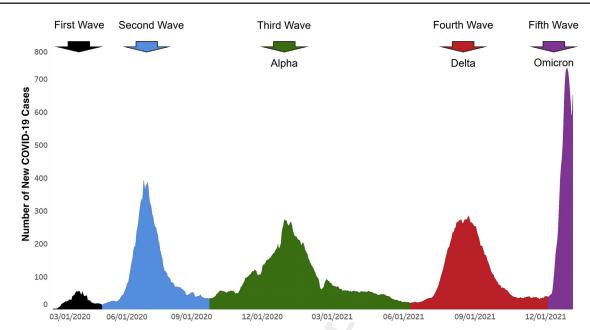
### Materials and Methods

### **Patient Specimens**

Specimens were obtained from patients registered at Houston Methodist facilities (eg, hospitals and urgent care centers) and institutions in the Houston metropolitan region that use our laboratory services. The great majority of individuals had signs or symptoms consistent with COVID-19 disease. For analyses focusing on patients with COVID-19 caused by the Omicron variant, samples obtained from November 27, 2021, through January 5, 2022, were used. This time frame was chosen because it represents the period during which an Omicron variant was first identified in our health care system and the last date of specimen collection used to generate genome sequence data for this article. Note that the genome data were generated for two distinct sampling periods. The first period included November 27, 2021, through December 23, 2021; and the second period included samples obtained between December 30, 2021, and January 5, 2022. This discontinuous sampling strategy was used in an effort to obtain the most up-to-date data available for inclusion in this study. Because of the substantial number of positive specimens obtained daily in the December 24, 2021, to December 29, 2021, period (sometimes exceeding 1500), it was not possible to sequence most of the samples collected during this period for inclusion in the study.

For analyses comparing features of patients infected with the Omicron VOC and Alpha and Delta VOCs, all patients documented to be infected with these variants in the Houston Methodist system were studied. The study included 40,991 unique patients identified in this time frame for whom we had SARS-CoV-2 genome sequences. The work was approved by the Houston Methodist Research Institute Institutional Review Board (IRB1010-0199).

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Epidemiologic curve showing five COVID-19 disease waves in Houston Methodist patients. Number of new COVID-19 cases (y axis) totals are Figure 1 shown as a ±3-day moving average. Each of the five waves is shown in a different color. The first and second waves were composed of a heterogeneous array of SARS-CoV-2 genotypes. The Alpha variant of concern (VOC) shown in the third wave, the Delta VOC shown in the fourth wave, and the Omicron VOC shown in the fifth wave indicate their numeric prominence in those waves. The figure should not be interpreted to mean that all cases in the third, fourth, and fifth waves were caused by Alpha, Delta, and Omicron VOCs, respectively. Rather, they are the dominant single VOCs causing disease in Houston Methodist system patients in those waves. The fifth wave shown includes data through January 5, 2022. The figure was generated with Tableau version 2021.2.7 (Tableau Software, LLC, Seattle, WA), and is adapted with permission from the version presented in Christensen et al.<sup>6</sup> The curve is essentially superimposable on COVID-19 activity in all metropolitan Houston, Texas.

### SARS-CoV-2 Molecular Diagnostic Testing

Specimens obtained from symptomatic patients with a suspicion for COVID-19 disease were tested in the Molecular Diagnostics Laboratory at Houston Methodist Hospital using assays granted Emergency Use Authorization from the US Food and Drug Administration (https://www.fda. gov/medical-devices/emergency-situations-medical-devices/ faqs-diagnostic-testing-sars-cov-2#offeringtests, last accessed June 7, 2021). Multiple molecular testing platforms were used, including the COVID-19 test or RP2.1 test with BioFire Film Array instruments, the Xpert Xpress SARS-CoV-2 test using Cepheid GeneXpert Infinity or Cepheid GeneXpert Xpress IV instruments, the Cobas SARS-CoV-2 and Influenza A/B Assay using the Roche Liat system, the SARS-CoV-2 Assay using the Hologic Panther instrument, the Aptima SARS-CoV-2 Assay using the Hologic Panther Fusion system, the Cobas SARS-CoV-2 test using the Roche 6800 system, and the SARS-CoV-2 assay using Abbott Alinity m instruments. Virtually all tests were performed on material obtained from nasopharyngeal swabs immersed in universal transport media; oropharyngeal or nasal swabs, bronchoalveolar lavage fluid, or sputum treated with dithiothreitol were sometimes used. Standardized specimen collection methods were used (https://vimeo.com/396996468/2228335d56, last accessed June 7, 2021).

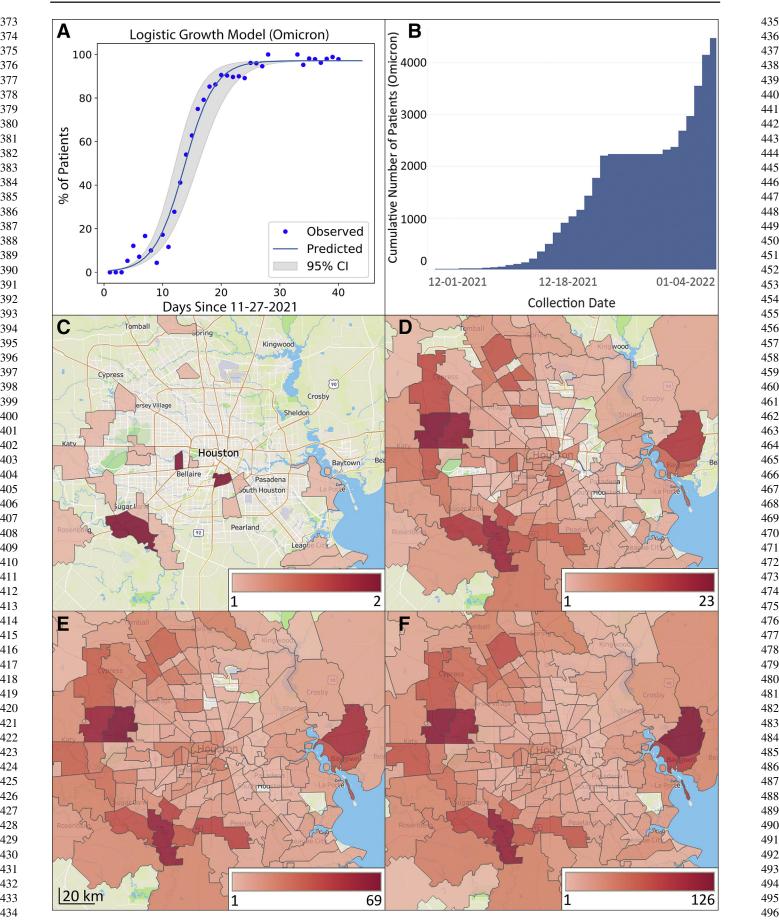
### SARS-CoV-2 Genome Sequencing, Genome Analysis, and Identification of Variants

We sequenced the SARS-CoV-2 genome of >90% of all positive cases in the Houston Methodist health care system during the two sampling periods studied. Libraries for whole SARS-CoV-2 genome sequencing were prepared according to version 4 (https://community.artic.network/t/sars-cov-2version-4-scheme-release/312, last accessed August 19, 2021) of the ARTIC nCoV-2019 sequencing protocol. The semi-automated workflow used has been described previously.<sup>2-6</sup> Sequence reads were generated with an Illumina (San Diego, CA) NovaSeq 6000 instrument.

Viral genomes were assembled with the BV-BRC SARS-Cov2 assembly service (https://www.bv-brc.org/app/ ComprehensiveSARS2Analysis, last accessed June 7, 2021, requires registration). The pipeline currently uses seqtk version 1.3-r117 for sequence trimming (https://github. com/lh3/seqtk.git, last accessed December 30, 2021) and minimap version 2.17 for aligning reads against the Wuhan-Hu-1 (NC\_045512.2) reference genome. Samtools version 1.11 was used for sequence and file manipulation, where maximum depth and minimum depth parameters in mpileup were set to 8000 and 3, respectively. iVar version 1.3.1 was used for primer trimming and variant calling. Genetic lineages, VOCs, and variants of interest were identified on the basis of genome sequence data and

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designated by Pangolin version 3.1.17 with pangoLEARN 2021-12-06 (https://cov-lineages.org/resources/ module pangolin.html, last accessed December 12, 2021). Genome 09 data used in this study have been deposited to GISAID (www.gisaid.org) (Supplemental Table S1).

S-Gene Target-Failure Assay

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An S-gene target-failure (SGTF) assay (TaqPath COVID-19 Q10 Combo Kit; Thermo Fisher, Inc.) was used as a surrogate marker for the Omicron VOC for some specimens collected between December 18, 2021, and January 5, 2022. From November 1, 2021, onward, only Delta and Omicron were documented to be circulating in metropolitan Houston, based on whole-genome sequence data. Patient samples were first tested in the clinical Molecular Diagnostics Laboratory using an RT-PCR assay with an Emergency Use Authorization, as described above. The SARS-CoV-2-positive samples were then tested with the SGTF assay, according to the manufacturer's instructions to infer an Omicron or not-Omicron lineage. That is, the SGTF assay was only performed on samples known to be positive for SARS-CoV-2. Samples yielding amplification of the S-gene were classified as a Delta variant. The SGTF data were validated on the basis of comparing the results with our extensive genome sequence data.

### Patient Metadata and Geospatial Analysis

Patient metadata were acquired from the electronic medical record by standard informatics methods. Figures showing geospatial distribution of spread for Omicron were generated with Tableau version 2021.2.7 (Tableau Software, LLC, Seattle, WA) using patient home address zip codes. A vaccination breakthrough case was defined as a PCRpositive sample from a patient obtained >14 days after full vaccination (eg, both doses of the Pfizer or Moderna mRNA vaccines) was completed. A booster vaccination breakthrough case was defined as a PCR-positive sample from a patient obtained >14 days after receiving a third vaccine dose. For some cases, manual chart review was conducted to resolve discrepancies or clarify ambiguities.

### Results

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Omicron Epidemiologic Wave

The first Houston Methodist patient infected with an Omicron variant was identified at the end of November 2021, a ∞

time when the Delta VOC was responsible for all COVID-19 cases in metropolitan Houston.<sup>6</sup> During this period, the metropolitan area was experiencing a steady decrease in total number of new COVID-19 cases (Figures 1 and 2). **F2** 

Omicron increased in frequency unusually rapidly over a 3-week period in December (Figures 1 and 2). By December 23, the genome sequence data showed that Omicron accounted for >90% of all new COVID-19 cases in our health care system (Figure 2). The estimated case doubling time during this 3-week period was approximately 1.8 days (Figure 2), which means that Omicron increased in relative frequency approximately three times faster than Delta had increased in our area,<sup>6</sup> an unprecedented trajectory for SARS-CoV-2 infections. By January 5, 2022, the Omicron variant caused 98% of all new COVID-19 cases diagnosed in our health care system (Figure 2). This represents the fifth wave of COVID-19 cases in metropolitan Houston (Figure 1).

Consistent with extensive infections caused by Omicron in southern Africa and elsewhere (https://www.cdc.gov/ coronavirus/2019-ncov/variants/variant-classifications. html, last accessed December 28, 2021; https://www.gov.uk/ government/collections/new-sars-cov-2-variant, last accessed December 28, 2021), several patients had recent travel histories to countries with a high prevalence of this VOC, suggesting acquisition of virus by some cases from abroad and importation into Houston. However, the vast majority of Omicron patients had no documented travel outside the United States and undoubtedly acquired the infection domestically, either in Houston or elsewhere.

To understand the geospatial distribution of Omicron in metropolitan Houston, patient metadata were acquired from the electronic medical record by standard informatics methods, and home address zip codes were used to visualize virus spread (Figure 2). The 4468 Houston Methodist patients infected with Omicron during this period were distributed widely throughout metropolitan Houston, with 259 different zip codes represented (Figure 2). The widespread distribution of Omicron in the Houston metroplex in an extremely short period of time reflects the ability of this variant to spread unusually rapidly and effectively between individuals and cause symptomatic disease.

### Comparison of Omicron, Alpha, and Delta COVID-19 Cases

There is a considerable lack of detailed information about patients with COVID-19 caused by the Omicron VOC, and data are especially lacking for US patients. We compared

Figure 2 Increase in Omicron frequency over time and distribution in metropolitan Houston, Texas. The study time frame was November 27, 2021, through January 5, 2022. A: Omicron logistic growth model. The estimated case doubling time is 1.8 days. B: Cumulative increase in Omicron during the study period; y axis is the cumulative number of new COVID-19 Omicron cases. At the end of the study period, Omicron caused 98% of all COVID-19 cases. The plateau between December 24, 2021, and December 30, 2021, exists because we did not sequence samples obtained during this period due to the massive number of daily positive specimens, as described in Materials and Methods. C-F: Geospatial distribution of Omicron based on home address zip code for each patient. C: November 27 to December 6. D: November 27 to December 16. E: November 27 to December 26. F: November 27 to January 5. Note differences in heat map scale for each panel. Figures were generated using Tableau version 2021.2.7. (Tableau Software, LLC, Seattle, WA).

#### Christensen et al

Variable	Omicron variant	Alpha variant	Total	Statistical analysis
Patients with data	4468 (58.7)	3149 (41.3)	7617	
Patient characteristics				
Median age, years	44.3	50.0	47.2	P < 0.0001 (U-test)
Female	2584 (57.8)	1617 (51.3)	4201 (55.2)	<i>P</i> < 0.0001
Male	1884 (42.2)	1532 (48.7)	3416 (44.8)	(Fisher exact test)
Race or ethnicity				
White	1627 (36.4)	1240 (39.4)	2867 (37.6)	<i>P</i> < 0.0001
Hispanic or Latino	992 (22.2)	942 (29.9)	1934 (25.4)	$(\chi^2 \text{ test})$
Black	1376 (30.8)	729 (23.2)	2105 (27.6)	
Asian	203 (4.5)	122 (3.9)	325 (4.3)	
Other	29 (0.6)	32 (1.0)	61 (0.8)	
Unavailable	241 (5.4)	84 (2.7)	325 (4.3)	
BMI		× • • •		
Median BMI, kg/m <sup>2</sup>	29.0	30.5	29.6	<i>P</i> < 0.0001
, J,				(U-test)
Admission data				(0 000)
Admitted	884 (19.8)	1719 (54.6)	2603 (34.2)	<i>P</i> < 0.0001
Not admitted	3584 (80.2)	1430 (45.4)	5014 (65.8)	(Fisher exact test)
	0001 (0012)	1.55 (1511)	501 (0510)	Odds ratio: 0.205
				(95% CI, 0.185-0.227)
Median LOS (discharged patients only), days	3.2	5.1	4.7	P < 0.0001
				(U-test)
Max respiratory support				(0 000)
ECMO	1 (0.1)	7 (0.4)	8 (0.3)	<i>P</i> < 0.0001
Mechanical ventilation	49 (5.5)	144 (8.4)	193 (7.4)	$(\chi^2 \text{ test})$
Noninvasive ventilation	63 (7.1)	163 (9.5)	226 (8.7)	(/, 0000)
High-flow oxygen	72 (8.1)	364 (21.2)	436 (16.7)	
Low-flow oxygen	314 (35.5)	722 (42.0)	1036 (39.8)	
Room air	385 (43.6)	319 (18.6)	704 (27.0)	
Mortality	565 (15.6)	515 (1010)	/01 (2/10)	
Alive	4430 (99.1)	2979 (94.6)	7409 (97.3)	<i>P</i> < 0.0001
Deceased	38 (0.9)	170 (5.4)	208 (2.7)	(Fisher exact test)
beccused	55 (0.5)	1/0 (311)	200 (217)	Odds ratio: 0.150
				(95% CI, 0.105-0.214)
Median PCR C <sub>T</sub>				(5570 01, 0.105 0.214)
Abbott Alinity	20.8	22.4	<i>n</i> = 3010	P = 0.0001
Abbott Aunty	(n = 1961)	(n = 1049)	// — J010	( <i>U</i> -test)
Hologic Panther	(n = 1901) 22.7	(n = 1049) 24.2	n = 831	P = 0.0745
	(n = 476)	(n = 355)	<i>II</i> — 051	
Vaccino	(n - 4/0)	(11 - 200)		(U-test)
Vaccine	1071 (// 1)	20/0 (06 0)	E010 (6E 0)	R < 0.0001
Not fully vaccinated	1971 (44.1)	3048 (96.8)	5019 (65.9)	P < 0.0001
Fully vaccinated	2497 (55.9)	101 (3.2)	2598 (34.1)	(Fisher exact test)
· ····j · ········			· · · ·	Odds ratio: 38.232

Data are given as number (percentage) of patients, unless otherwise indicated.

BMI, body mass index; ECMO, extracorporeal membrane oxygenation; LOS, length of stay; Max, maximum.

available metadata for all Houston Methodist patients infected with Omicron, Alpha, and Delta VOCs (Tables 1 **[T1] T2** and 2). The populations differed significantly in many characteristics, including median age, hospital admission rates, maximum respiratory support, rate of vaccine breakthrough, and median length of stay (Tables 1 and 2).

Patients infected with Omicron were significantly younger than patients infected with Alpha and Delta (Tables 1 and 2). More important, Omicron patients were hospitalized significantly less frequently than patients infected with either the Alpha or Delta variant, and had a significantly shorter median hospital length of stay (Tables 1 and 2).

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We next analyzed Omicron vaccine breakthrough cases (Tables 1 and 2). We found 2497 of the 4468 total Omicron patients (55.9%) for whom we have whole genome sequence data met the CDC definition of vaccine breakthrough cases (Tables 1 and 2). There was no simple

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#### Omicron Variant in Houston, Texas

Variable	Omicron variant	Delta variant	Total	Statistical analysis
Patients with data	4468 (22.1)	15,728 (77.9)	20,196	
Patient characteristics				
Median age, years	44.3	48.3	47.6	<i>P</i> < 0.0001
				(U-test)
Female	2584 (57.8)	8123 (51.6)	10,707 (53.0)	<i>P</i> < 0.0001
Male	1884 (42.2)	7605 (48.4)	9489 (47.0)	(Fisher exact test)
Race or ethnicity				. ,
White	1627 (36.4)	6903 (43.9)	8530 (42.2)	<i>P</i> < 0.0001
Hispanic or Latino	992 (22.2)	4179 (26.6)	5171 (25.6)	$(\chi^2 \text{ test})$
Black	1376 (30.8)	3450 (21.9)	4826 (23.9)	
Asian	203 (4.5)	531 (3.4)	734 (3.6)	
Other	29 (0.6)	112 (0.7)	141 (0.7)	
Unavailable	241 (5.4)	553 (3.5)	794 (3.9)	
BMI	(-••)	(0.0)		
Median BMI, kg/m <sup>2</sup>	29.0	29.6	29.4	P < 0.0001
				(U-test)
Admission data				(5 (650)
Admitted	884 (19.8)	6779 (43.1)	7663 (37.9)	<i>P</i> < 0.0001
Not admitted	3584 (80.2)	8949 (56.9)	12,533 (62.1)	(Fisher exact test)
	5501 (00.2)	05.15 (50.5)	12,333 (02.1)	Odds ratio: 0.326
				(95% CI, 0.301-0.353)
Median LOS (discharged patients only), days	3.2	5.4	5.2	P < 0.0001
realian Eos (uisenargea patients onty), days	5.2	5.4	J.L	( <i>U</i> -test)
Max respiratory support				(0 (0))
ECMO	1 (0.1)	19 (0.3)	20 (0.3)	<i>P</i> < 0.0001
Mechanical ventilation	49 (5.5)	727 (10.7)	776 (10.1)	$(\chi^2 \text{ test})$
Noninvasive ventilation	63 (7.1)	641 (9.5)	704 (9.2)	(1, 1030)
High-flow oxygen	72 (8.1)	1796 (26.5)	1868 (24.4)	
Low-flow oxygen	314 (35.5)	2290 (33.8)	2604 (34.0)	
Room air	385 (43.6)	1306 (19.3)	1691 (22.1)	
Mortality	565 (45.0)	1200 (19.2)	1091 (22.1)	
Alive	4430 (99.1)	14,889 (94.7)	19,319 (95.7)	P < 0.0001
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Deceased	38 (0.9)	839 (5.3)	877 (4.3)	(Fisher exact test) Odds ratio: 0.152
Madian BCB C				(95% CI, 0.110-0.211)
Median PCR C <sub>T</sub>	20.9	21 5	n — 7000	D < 0.0001
Abbott Alinity	20.8	21.5	n = 7083	P < 0.0001
	(n = 1961)	(n = 5122)		(U-test)
Hologic Panther	22.7	22.6	n = 1774	P = 0.1606
	(n = 476)	(n = 1298)		(U-test)
Vaccine			40.000 (`	D 0.000/
No vaccine	1815 (40.6)	11,415 (72.6)	13,230 (65.5)	P < 0.0001
>7 Days past first vaccine	156 (3.5)	494 (3.1)	650 (3.2)	$(\chi^2 \text{ test})$
>14 Days past second vaccine	1786 (40.0)	3679 (23.4)	5465 (27.1)	
>14 Days past third vaccine	711 (15.9)	140 (0.9)	851 (4.2)	

BMI, body mass index; ECMO, extracorporeal membrane oxygenation; LOS, length of stay; Max, maximum.

relationship between the time elapsed since administration of the second vaccination dose and the date of vaccination breakthrough. These 2497 patients received two doses of either the Pfizer-BioNTech BNT162b2 (n = 1828; 73%) or the Moderna mRNA-1273 (n = 553; 22%) or one dose of J&J/Janssen JNJ-78436735 (n = 115; 5%) vaccine; vaccine type was not specified for one individual. This distribution reflects the majority use of BNT162b2 vaccination doses in

our health system. Compared with either Alpha or Delta patients, a significantly greater percentage of patients with breakthrough cases was caused by the Omicron VOC (55.9% compared with 3.2% and 24.3% for Alpha and Delta VOCs, respectively) (Tables 1 and 2). We next analyzed individuals with breakthrough cases after receiving a third (booster) dose of either the Pfizer-BioNTech BNT162b2 or the Moderna mRNA-1273 vaccine. We found that 711

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(15.9%) of the 4468 Omicron patients met this criterion. Consistent with Omicron causing a significantly increased number of vaccine breakthrough cases, many studies have reported that this variant has reduced sensitivity to antibody neutralization in vitro, likely in large part due to the extensive number of amino acid and other structural changes occurring in Omicron spike protein.<sup>11-34</sup>

### Spike-Gene Target-Failure Assay

To estimate Omicron variant frequency in patient samples not yet sequenced, we performed the TaqPath COVID-19 882 q12 Combo Kit assay (ThermoFisher) on 1216 samples collected from symptomatic patients between December 18, 2021, and January 5, 2022. In total, 1093 (90%) of patient samples yielded an RT-PCR result with S-gene target-failure indicative of the Omicron variant. These data are consistent with the increasing frequency of new cases of COVID-19 caused by Omicron in our population (Figure 2).

### Discovery of Omicron Stealth Sublineage BA.2 in Houston

894 The Omicron sublineage BA.2 was first identified in 895 November 2021 in Australia in a patient who had traveled to 896 South Africa (https://github.com/cov-lineages/pango-897 designation/issues/359, last accessed December 30, 2021). 898 This sublineage does not have the full set of 899 polymorphisms characteristic of BA.1 (B.1.1.529) and has 900 additional mutations unique to it (https://github.com/cov-901 lineages/pango-designation/issues/361, last accessed 902 December 30, 2021). One important difference is that 903 sublineage BA.2 lacks the spike gene deletion in the 904 region encoding amino acid 69/70, which means that it 905 906 will not be detected by the SGTF assay. As a 907 consequence, it is sometimes referred to as the Omicron 908 stealth variant. We inspected all full genome sequences 909 present in our large database, including specimens 910 obtained from symptomatic patients and asymptomatic 911 individuals, and discovered only two members of the BA. 912 2 sublineage in Houston COVID-19 patients. 913

### Discussion

917 This work was conducted to address the relative lack of in-918 formation about disease character among US patients with 919 COVID-19 caused by the Omicron VOC, and to compare our 920 findings with data available for patients in the Houston 921 Methodist system who had disease caused by the Alpha and 922 Delta VOCs. We describe information relevant to the 923 massive Omicron wave in metropolitan Houston. In 3 weeks 924 (December 1, 2021, through December 23, 2021), Omicron 925 was first identified in our population and rapidly increased to 926 927 cause 90% of all new COVID-19 cases, with an unusually 928 fast case doubling time of 1.8 days. Analysis of samples 929 obtained from December 30, 2021, to January 5, 2022, found 930

that at the end of the sampling period, Omicron caused 98% of all new COVID-19 cases in our health care system.

The study was based on genome sequence analysis of 4468 Omicron samples taken from socioeconomically, geographically, and ethnically diverse symptomatic patients. Several key findings were made, including the following: i) the Omicron VOC rapidly increased as a cause of COVID-19 and spread throughout the metroplex in an unusually short period of time, far faster than any other SARS-CoV-2 variant; ii) Omicron caused significantly more vaccine breakthrough cases than the Alpha or Delta VOC; iii) Omicron patients were significantly younger than Alpha or Delta patients; iv) significantly fewer Omicron patients required hospitalization compared with Alpha and Delta patients; and v) the median length of stay for hospitalized Omicron patients was significantly shorter than for Alpha and Delta patients, and consistent with this observation, on average the maximum respiratory support required for Omicron patients was significantly less than for Alpha or Delta patients. Our findings are largely consistent with many aspects of Omicron data reported from the United Kingdom, South Africa, and Canada,<sup>8–10,35–38</sup> and are consistent with experimental animal infection data suggesting that Omicron causes less severe disease in mice and hamsters.<sup>39–43</sup> This study was facilitated by a comprehensive and integrated population genomics and epidemiology project<sup>2-6</sup> implemented at the end of February 2020, when the initial COVID-19 case was diagnosed in the Houston Methodist health care system.

Several questions arise from our findings (namely, the underlying causes for the differences we observe in Omicron compared with Alpha and Delta patients). Increased vaccine breakthrough cases may be due to serologic and structural differences in Omicron relative to Alpha and Delta. It is also possible that waning of immunity is a contributing factor as well. We do not currently have serologic or other data that could address this possibility in our patients. As noted above, ample in vitro and animal infection model data have accumulated, suggesting that Omicron is less virulent than Delta or Alpha VOC. We speculate that the lower age of Omicron patients may be attributable to a disproportionately greater likelihood of risky behaviors in the younger population (eg, less mask wearing and less social distancing). Regardless, additional studies are required to gain more information about factors contributing to the differences between Alpha, Delta, and Omicron patients that we identified in this study.

Because we sequence the genome of approximately 90% of SARS-CoV-2 causing COVID-19 in our diverse Houston Methodist patient population, and have done so for almost 2 years, we are continuously monitoring the composition of this virus in a major US metroplex. This affords us the opportunity to rapidly assess changes in SARS-CoV-2 population genomic structure in the fourth largest city in the United States. However, our study has several limitations. Although we sequenced the genomes of SARS-CoV-2 causing 90% of all Houston Methodist COVID-19 cases in 976

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993 the study period, this sample represents only approximately 994 5% of cases reported in the metropolitan region. Our patient 995 population will underrepresent some demographic groups 996 (eg, homeless individuals and pediatric patients). The sam-997 ples sequenced in this study were obtained from symp-998 tomatic individuals, which means that it is possible that we 999 failed to identify Omicron subvariants or features prefer-1000 entially represented in asymptomatic individuals. It is likely 1001 that our study included some patients where Omicron was 1002 1003 detected on hospital admission but was incidental to the 1004 primary cause of admission. 1005

The identification of two asymptomatic individuals with the Omicron stealth sublineage BA.2 is potentially concerning and stresses the importance of using whole-genome sequencing to study patient samples. This sublineage lacks the spike gene deletion corresponding to amino acids 69 and 70 and is not detected by some commonly used assays. Sublineage BA.2 now accounts for approximately 5% of COVID-19 in the United Kingdom, which means that it has the ability to successfully transmit and cause disease.<sup>44</sup> It will be important to determine if this SARS-CoV-2 genotype increases in frequency in metropolitan Houston as additional genome sequencing is conducted on samples from our patient population.

In the aggregate, our data add critical new information to features of Omicron genomic epidemiology and patient characteristics in the United States. Furthermore, the present study highlights the importance of analyzing SARS-CoV-2 genome data integrated with patient metadata and stresses the need to continue to do this in near real time as the Omicron surge continues, the virus evolves, and new variants with potentially altered fitness and biomedically relevant phenotypes are generated. Analyses of this type are also important in the context of vaccine formulation and long COVID-19, an increasing health and economic problem globally. Finally, the strategy we have used in this and previous studies<sup>2–6</sup> is readily applicable to future infectious disease problems that warrant special attention.

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### **Author Contributions**

P.A.C., R.J.O., S.W.L., and J.M.M. had full access to all study data and take responsibility for the integrity of the

data and the accuracy of the data analysis; concept and design by J.M.M., P.A.C., R.J.O., and S.W.L; data acquisition, analysis, or interpretation by all authors; drafting of the manuscript by all authors; statistical analysis by P.A.C.; funding obtained by J.M.M. and J.J.D.; and overall supervision by J.M.M., P.A.C., R.J.O., and S.W.L. contributed equally and are co-first authors.

# Supplemental Data

Supplemental material for this article can be found at *http://doi.org/10.1016/j.ajpath.2022.01.007*.

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