

Check for updates

COVID-19 in Amazonas, Brazil, was driven by the persistence of endemic lineages and P.1 emergence

Felipe Gomes Naveca[®]¹[∞], Valdinete Nascimento¹, Victor Costa de Souza¹, André de Lima Corado¹, Fernanda Nascimento¹, George Silva¹, Ágatha Costa¹, Débora Duarte¹, Karina Pessoa¹, Matilde Mejía¹, Maria Júlia Brandão¹, Michele Jesus², Luciana Gonçalves³, Cristiano Fernandes da Costa³, Vanderson Sampaio[®]³, Daniel Barros³, Marineide Silva⁴, Tirza Mattos⁴, Gemilson Pontes⁵, Ligia Abdalla⁶, João Hugo Santos⁷, Ighor Arantes⁸, Filipe Zimmer Dezordi⁹, Marilda Mendonça Siqueira¹⁰, Gabriel Luz Wallau⁹, Paola Cristina Resende^{®10}, Edson Delatorre^{®11}, Tiago Gräf¹² and Gonzalo Bello^{®8}

The northern state of Amazonas is among the regions in Brazil most heavily affected by the COVID-19 epidemic and has experienced two exponentially growing waves, in early and late 2020. Through a genomic epidemiology study based on 250 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) genomes from different Amazonas municipalities sampled between March 2020 and January 2021, we reveal that the first exponential growth phase was driven mostly by the dissemination of lineage B.1.195, which was gradually replaced by lineage B.1.1.28 between May and June 2020. The second wave coincides with the emergence of the variant of concern (VOC) P.1, which evolved from a local B.1.1.28 clade in late November 2020 and replaced the parental lineage in <2 months. Our findings support the conclusion that successive lineage replacements in Amazonas were driven by a complex combination of variable levels of social distancing measures and the emergence of a more transmissible VOC P.1 virus. These data provide insights to understanding the mechanisms underlying the COVID-19 epidemic waves and the risk of dissemination of SARS-CoV-2 VOC P.1 in Brazil and, potentially, worldwide.

mazonas state reported the first confirmed SARS-CoV-2 case in Manaus, the state capital, in March 2020 in a traveler returning from Europe¹. By late February 2021, >306,000 laboratory-confirmed cases and more than 10,400 deaths in Amazonas had been reported². The COVID-19 epidemic in Amazonas is, at the time of writing, characterized by two exponentially growing curves of cases (Fig. 1a). Epidemiological data from surveillance of severe acute respiratory illness (SARI) and burials indicate that the first wave of the epidemic started in March 2020 and peaked around early May 2020, when the number of cases dropped and then remained roughly stable from June to November 2020. However, in mid-December the number of cases started to grow exponentially, establishing the second wave of the epidemic.

A new SARS-CoV-2 VOC, designated P.1 and also knowns as N501Y.V3, recently emerged in Manaus. Lineage P.1 was first detected in four travelers returning to Japan from Amazonas state on 2 January 2021 (ref. ³) and was soon recognized as an emergent lineage in Manaus⁴. The VOC P.1 harbors 21 lineage-defining mutations, including ten in the Spike protein (L18F, T20N, P26S, D138Y, R190S, K417T, E484K, N501Y, H655Y and T1027I). The emergence

of P.1 was touted as one of the putative causes of the second wave of COVID-19 in Manaus⁵. However, the precise relationship between circulating SARS-CoV-2 variants and epidemic dynamics in Amazonas remains unclear due to the paucity of viral sequences sampled in this Brazilian state before December 2020.

Results

Evidence of successive SARS-CoV-2 lineage replacements in Amazonas. To acquire a more in-depth understanding of the genetic diversity of SARS-CoV-2 variants circulating in Amazonas state since the early epidemic, we generated 250 SARS-CoV-2 high-quality, whole-genome sequences from individuals living in 25 municipalities, between 16 March 2020 and 13 January 2021 (Fig. 1a,b). Viral sequences were generated at FIOCRUZ Amazônia, which is part of both the Amazonas state health genomics network (REGESAM) and the consortium FIOCRUZ COVID-19 Genomics Surveillance Network of the Brazilian Ministry of Health (http://www.genomahcov.fiocruz.br/). Our genomic survey revealed that most sequences were classified into five lineages: B.1.1.28 (n=93, 37%), P.1 (n=60, 24%), B.1.195 (n=49, 20%), B.1.1.33 (n=29,

¹Laboratório de Ecologia de Doenças Transmissíveis na Amazônia, Instituto Leônidas e Maria Deane, Fiocruz, Manaus, Brazil. ²Laboratório de Diversidade Microbiana da Amazônia com Importância para a Saúde, Instituto Leônidas e Maria Deane, Fiocruz, Manaus, Brazil. ³Fundação de Vigilância em Saúde do Amazonas, Manaus, Brazil. ⁴Laboratório Central de Saúde Pública do Amazonas, Manaus, Brazil. ⁵Instituto Nacional de Pesquisas da Amazônia, Manaus, Brazil. ⁶Universidade do Estado do Amazonas, Manaus, Brazil. ⁷Hospital Adventista de Manaus, Manaus, Brazil. ⁸Laboratório de AIDS e Imunologia Molecular, Instituto Oswaldo Cruz, Fiocruz, Rio de Janeiro, Brazil. ⁹Instituto Aggeu Magalhães, Departamento de Entomologia e Núcleo de Bioinformática, Fiocruz, Recife, Brazil. ¹⁰Laboratório de Vírus Respiratórios e Sarampo, Instituto Oswaldo Cruz, Fiocruz, Rio de Janeiro, Brazil. ¹¹Departamento de Biologia, Centro de Ciências Exatas, Naturais e da Saúde, Universidade Federal do Espírito Santo, Alegre, Brazil. ¹²Instituto Gonçalo Moniz, Fiocruz, Salvador, Brazil. ⁵³e-mail: felipe.naveca@fiocruz.br; gbellobr@gmail.com



Fig. 1 [Geographic and temporal distribution of SARS-CoV-2-positive samples and viral lineages in Amazonas state. a, Graph depicting the temporal evolution of SARI cases and SARI deaths (based on date of symptom onset (source, http://info.gripe.fiocruz.br) and burials (source, SEMULSP-Manaus) as a proxy for the COVID-19 epidemic curve in Amazonas state, along with the number of SARS-CoV-2 whole-genome sequences generated in this study and samples genotyped by the P.1-specific real-time RT-PCR protocol. **b**, Map of Amazonas state showing municipalities covered by the SARS-CoV-2 sequences generated in this study. Numbers represent genomes generated for each municipality. **c**, Prevalence of major SARS-CoV-2 lineages between March 2020 and January 2021, estimated from whole-genome sequencing. **d**, Prevalence of SARS-CoV-2 lineages P.1 and non-P.1 between November 2020 and January 2021, estimated from whole-genome sequencing combined with RT-PCR screening.

12%) and P.2 (n=9, 4%) (Supplementary Table 1), with a changing temporal prevalence over time (Fig. 1c). The lineage B.1.195 was the most prevalent during the first exponential growth phase. However, its prevalence gradually decreased after the first epidemic peak in early May and was surpassed by lineage B.1.1.28. This lineage persisted as the most prevalent from May to December 2020, when the second lineage replacement took place coinciding with the second phase of exponential growth. The VOC P.1 was first detected on 4 December 2020 in Manaus, and displayed an extremely rapid increase in prevalence up to January 2021.

To better estimate the temporal trajectory of the emergence of P.1 in Amazonas state in late 2020 and early 2021, we designed a real-time PCR with reverse transcription (RT–PCR) screening assay to detect the deletion at orf1b (NSP6: S106del, G107del, F108del), which is a genetic signature of VOCs P.1, B.1.1.7 and B.1.351. Thus, we evaluated a further 1,232 SARS-CoV-2-positive samples available at the central laboratory of Amazonas state (LACEN-AM) between 1 November 2020 and 31 January 2021. None of the SARS-CoV-2-positive samples genotyped by real-time RT–PCR before 16 December was positive for the NSP6 deletion, supporting

our sequencing results that indicate a very low prevalence of VOC P.1 before mid-December 2020 in Amazonas. However, positive samples for the NSP6 deletion were frequently detected in the second half of December 2020 and in January 2021. Combining genome sequencing and real-time RT-PCR testing, we found the P.1 lineage in 0% of samples collected in November 2020 (n=0/355), in 8.6% of samples from 1 to 15 December 2020 (n=33/384), in 50.8% of samples from 16 to 31 December 2020 (n = 177/348) and in 73.8% of samples from 1 to 15 January 2021 (*n*=487/660) (Fig. 1d). Similar findings were observed when analyses were restricted to the city of Manaus: P.1 lineage in 0% of samples collected in November 2020 (n = 0/211), in 10.5% of samples from 1 to 15 December 2020 (n=32/305), in 45.1% of samples from 16 to 31 December 2020 (n = 106/235) and in 66.0% of samples from 1 to 15 January 2021 (n = 163/247). These findings clearly show a sharp increase of lineage P.1 prevalence in Manaus, and in the countryside of Amazonas state, during the second epidemic wave.

Major SARS-CoV-2 local clades drove the COVID-19 epidemic in Amazonas state. To estimate the minimum number of viral introductions into Amazonas state, we performed maximum likelihood phylogeographic analyses for the large datasets of B.1.1.28 (n=674) and B.1.1.33 (n=602), and Bayesian analysis for the medium-sized B.1.195 dataset (n = 160). Time-scaled maximum likelihood trees support at least 28 importation events of widespread Brazilian lineages B.1.1.28 (n=13), P.2 (n=8) and B.1.1.33 (n=7) into Amazonas state; mostly from the southeastern (75%) and southern (18%) Brazilian regions (Fig. 2a,b and Extended Data Fig. 1). Despite multiple seeding events, most B.1.1.28 and B.1.1.33 viruses from Amazonas state branched into three highly supported (approximate likelihood-ratio test>80%) local clades (Fig. 2a,b). The clades 28-AM-I and 28-AM-II comprised 49% (n=46) and 26% (n = 24) of all B.1.1.28 sequences from Amazonas, respectively, and clade 28-AM-II further gave origin to lineage P.1. The clade 33-AM-I comprises 48% (n=14) of all B.1.1.33 sequences from Amazonas detected here. A Bayesian phylogeographic tree of B.1.195 sequences, sampled worldwide, supports a single importation event of this lineage into Amazonas state from either other Brazilian states or abroad (posterior probability=0.61 and 0.39, respectively) (Fig. 2c). The clustering of B.1.195 Amazonian sequences in a single, highly supported (posterior probability=0.92) monophyletic clade (195-AM) was resolved after incorporation of a nine-nucleotide deletion at nsp1 (delta 640-648: K141, S142, F143) as an informative trait in phylogenetic reconstruction. This deletion was the only lineage-defining molecular signature of clade 195-AM, and was also detected in two sequences from the Colombian Amazonian region and one from a Japanese traveler returning from Amazonas to Japan, which were nested within clade 195-AM.

Analysis of the identified Amazonian clades revealed single-lineage-defining mutations in clades 28-AM-I (C29284T), 28-AM-II (A6613G) and 33-AM-I (A28108C, ORF8:Q72P) relative to other B.1.1.28 and B.1.1.33 Brazilian sequences. Furthermore, only one signature mutation at the Spike (V1176F) distinguishes Amazonian lineages B.1.195 and B.1.1.28, which contrasts with the high number of mutations accumulated by the lineage P.1. A closer inspection of the genetic diversity within the Amazonian clade 28-AM-II further revealed a P.1-like sequence sampled in Manaus on 23 December 2020 that branched basal to the P.1 lineage and also accumulated an unusually high number of genetic changes relative to other B.1.1.28 Brazilian sequences (Fig. 3a). The P.1-like sequence harbors 6/10 P.1 lineage-defining mutations in the Spike protein, including those at the receptor-binding domains K417T, E484K and N501Y. Those mutations raise concern because they may enhance ACE2 affinity and contribute to antibody evasion (Supplementary Table 2)6. To investigate the events underlying the emergence of P.1 and P.1-like lineages, we analyzed intrahost SARS-CoV-2 variability in our samples. We found a total of 484 well-supported minor variants (MVs) with a predominance of nonsynonymous (n=368) over synonymous (n=105) substitutions in 126 out of 250 genomes analyzed in this study (Fig. 3b). The frequency of MVs observed in samples taken during the early (March-September) and late (October-January) epidemic phases was comparable (Extended Data Fig. 2), and no MVs corresponding to the Spike-lineage-defining mutations of clades P.1 and P.1-like were identified (Supplementary Table 3).

Differences in the epidemic trajectory of major SARS-CoV-2 Amazonian clades. Bayesian reconstructions of the spatiotemporal dissemination dynamic, using different molecular clocks (strict and local) and phylogeographic (continuous and discrete) models, support very similar scenarios (Supplementary Tables 4 and 5). These analyses support that the early prevalent local clade 195-AM probably emerged in mid-March 2020 in the city of Manaus and quickly spread from there to several municipalities of the metropolitan region and inner Amazonas state (Fig. 4a,b). The origin of clades 28-AM-I and 28-AM-II was estimated to be in late March 2020 in the area of the Solimões river comprising the municipalities Manacapuru, Iranduba and Manaquiri, and from this area these clades were disseminated to Manaus and other inner municipalities (Fig. 4c–f). The clade 33-AM-I probably arose in mid-April 2020 and remained restricted to Manaus. These analyses traced the most recent common ancestor of lineages P.1 and P.1-like to Manaus in late August 2020, and further indicate that the VOC P.1 probably arose in Manaus around late November 2020 and rapidly spread to other municipalities of the metropolitan region, and also to municipalities located up to 1,100 km distant from Manaus at the borders with Peru, Colombia and Venezuela (Fig. 4e,f).

We next applied the birth-death skyline (BDSKY) model to estimate the effective reproductive number (Re) of the Amazonian clades with >40 genomes. The estimated Re trajectories matched the relative prevalence of lineages and social distancing metrics (Fig. 5) very closely. The Re of clade 195-AM was high (2.6, 95% highest posterior density (HPD): 1.6-3.8) in March, but displayed a steep decrease to 1.0 (95% HPD): 0.8-1.2) in April, coinciding with an increase by >50% of social distancing in Manaus. Clade 28-AM-I, which was estimated to have emerged in rural Amazonas municipalities, also presented a high Re (2.1, 95% HPD: 1.2-3.4) in its initial spread, reducing to 0.9 (95% HPD: 0.7-1.2) in May when the social distancing index reached 50% in the interior of Amazonas state. The increasing relative prevalence of clade 28-AM-I over 195-AM from April to June agrees with the estimated Re differences during April. From June to August 2020, the Re of clades 195-AM and 28-AM-I remained roughly stable at around 1.0. When the social distancing index decreased to <40% in September 2020, 195-AM became apparently extinct while the Re of 28-AM-I increased to 1.2 (95% HPD: 0.9-1.6) and then remained roughly stable >1.0 up to the end of 2020, leading to an increasing prevalence of 28-AM-I between September and November 2020. The lineage P.1 arose in late November 2020 and displayed a high Re of 2.6 (95% HPD: 1.5-4.5) during December 2020, becoming the predominant lineage. With the consequently increased social distance imposed after the health system collapse, this VOC's Re was estimated to have decreased to 1.2 (95% HPD: 0.9-1.6) in late December and January.

SARS-CoV-2 P.1 infections are associated with higher viral loads. The estimated Re trajectories of SARS-CoV-2 Amazonian lineages support that VOC P.1 could be more transmissible than the earlier prevalent viral lineages. To test whether this estimated Re difference might reflect a distinct virological phenotype, we used real-time RT-PCR cycle threshold (Ct) scores as a proxy of the viral load in the upper respiratory tract (URT) of P.1-positive and -negative samples collected at a similar time point of symptom onset (Fig. 6 and Supplementary Table 6). Our analysis revealed a significantly (Mann–Whitney two-sided test P < 0.0001) lower median score in URT samples from P.1-infected (Ct = 19.8) compared with non-P.1-infected (Ct = 23.0) subjects, indicating that viral load was ~tenfold higher in P.1 infections than in non-P.1. The median Ct scores for P.1 were significantly lower than for non-P.1 in adult (18-59 years) men (P=0.0005), adult women (P<0.0001) and elderly (>59 years) women (P=0.0149), but not significantly different in elderly men (P=0.4624). Non-P.1-infected elderly men displayed higher viral load (Ct = 20.8) than younger male adults (Ct = 22.7) and females of all ages (Ct=23.8). Older P.1-infected males, by contrast, displayed viral load (Ct=19.8) similar to younger adult males (Ct = 19.4) and females of all ages (Ct = 20.1), suggesting that P.1-infected individuals >18 years old could be equally infectious, irrespective of sex and age.

Discussion

The present study is a comprehensive SARS-CoV-2 genomic investigation performed in Amazonas, one of the Brazilian states most heavily impacted by COVID-19. Our analyses revealed that



Fig. 2 | Origin of major SARS-CoV-2 lineages circulating in Amazonas state. a,b, Maximum likelihood phylogeographic analysis of lineages B.1.1.28/ P.1/P.2 (a) and B.1.1.33 (b) in Brazil. Graphs depict the estimated numbers of introductions into Amazonas state, colored by region of origin. c, Bayesian phylogeographic analysis of lineage B.1.195 sequences sampled worldwide. Tips and branches are colored according to sampling location and the most probable location state of their descendent nodes, respectively, as indicated in the legend. Shaded boxes highlight the major SARS-CoV-2 Amazonian clades. The trees were automatically rooted under the assumption of a strict molecular clock, and all horizontal branch lengths are drawn to a scale of years.



Fig. 3 | Genomic variability of SARS-CoV-2 in Amazonas state. a, Correlation between the sampling dates of B.1.1.28, P.1 and P.2 Brazilian sequences and their genetic distance from the root of the maximum likelihood phylogenetic tree. The tree is rooted using the oldest sequence. Sequences from Amazonian clades and VOCs are colored according to the legend at left. **b**, Maximum likelihood phylogenetic tree of the 250 genomes from Amazonas, with lineage assignment and total (black), nonsynonymous (red) and synonymous (blue) nucleotide changes estimated when incorporating MVs into the consensus genome.

most cases in Amazonas were driven by successful dissemination of a few local viral clades that, together, comprise 77% of the 250 SARS-CoV-2 Amazonian genomes sampled between March 2020 and January 2021 rather than multiple importation events. Early major SARS-CoV-2 Amazonian clades arose in either Manaus or the metropolitan region between mid-March and late April 2020 and were widely disseminated within Amazonas state, reaching the most isolated inner localities. We found almost no evidence of the spread of early local SARS-CoV-2 Amazonian lineages outside the state, supporting that Amazonas was not a major hub of viral dissemination within Brazil during 2020. Increasing travel during Christmas and New Year celebrations, combined with the emergence of a potentially more transmissible VOC, however, have changed this scenario, and lineage P.1 has rapidly spread across several Brazilian states up to March 2021 (http://www.genomahcov. fiocruz.br).

Two SARS-CoV-2 lineage replacements characterized the COVID-19 epidemic in Amazonas during early and late 2020. The first of these started after the primary epidemic peak and was a gradual process over nearly 5 months during which lineage B.1.128 progressively substituted lineage B.1.195, which was the dominant variant during the first epidemic wave. Our analyses suggest that

epidemiological rather than virological factors probably drove this first lineage replacement. The SARS-CoV-2 Amazonian clades 28-AM-I and 28-AM-II, which became the dominant variants in the phase between peaks, displayed only single-lineage-defining synonymous mutations with one difference at the Spike protein when compared to clade 195-AM, and these evolved at a relatively constant rate between April and November 2020. The most notable difference was that clade 195-AM arose in the city of Manaus, and the Re value was considerably reduced around mid-April when social distancing in Manaus increased to >50%. Clades 28-AM-I and 28-AM-II, by contrast, arose outside the city of Manaus and the Re of clade 28-AM-I remained >1.0 until mid-May 2020, when social distancing outside the capital city increased to >50%. When mitigation measures were relaxed and the social distancing index fell by <40% in September 2020, the Re of clade 28-AM-I returned to >1.0 while clade B.1.195 became extinct, completing the lineage replacement process. Thus, the lower social distancing observed in Amazonas state inner municipalities compared to Manaus was the probable driver of the first lineage replacement.

A modeling study with data from blood donors, conducted in Manaus, estimated that the first wave of SARS-CoV-2 infected 76% (95% CI: 67–98) of the city's population by October 2020, suggesting



Fig. 4 | Spatial dissemination of the major SARS-CoV-2 Amazonian clades. a-f, Phylogeny branches were arranged in space according to internal node locations inferred by the continuous phylogeographic model for lineages 195-AM (**a**,**b**), 28-AM-I (**c**,**d**) and 28-AM-II (**e**,**f**). Branches are colored according to time, as indicated by the legend. Blue shaded areas depict the phylogeographic estimation uncertainty as the 80% highest posterior density interval of the nodes. **b**,**d**,**f**, Close-up views of migration events in the Manaus metropolitan area for each lineage. Stars represent the estimated location of the most recent common ancestor of each lineage; squares represent nodes comprising the P.1 lineage.

that the theoretical threshold for herd immunity was reached by mid-2020 and that a second COVID-19 wave would not be expected so soon⁷. Several hypotheses were proposed to explain the unexpected second wave that resulted in the collapse of the health system in Manaus between December 2020 and January 2021 (ref. ⁵). One hypothesis is that lineage P.1 might evade immunity generated in response to a previous infection and has the potential to reinfect convalescent individuals. While some cases of reinfection with lineage P.1 were described in Manaus⁸, the extent to which reinfections effectively contribute to both onward transmission of SARS-CoV-2 and the surge of cases in the second wave in Amazonas remains controversial^{4,9}. Another hypothesis is that the SARS-CoV-2 attack rate in Manaus was overestimated. Our study supports that a drastic reduction in median Re (from 2.1–2.6 to



Fig. 5 | Epidemic temporal trajectories of major SARS-CoV-2 Amazonian clades. a, Relative prevalence of viral Amazonian clades between March 2020 and January 2021 estimated from whole-genome sequencing. **b**, Graph depicting the temporal variation in Re of viral Amazonian clades, estimated using the BDSKY approach along with social distancing data trends, reported as percentage change measured against baseline in Manaus (dashed line) and outside Manaus (solid line). Lines represent the weekly average (source, http://inloco.com.br).

0.9–1.0) for Amazonian lineages B.1.195 and B.1.1.28 occurred around April-May 2020, consistent with independent epidemiological modeling studies^{10,11}, coinciding with the timing of implementation of nonpharmaceutical interventions (NPI) that effectively increased social distancing. Although those NPIs were not sufficiently stringent to consistently reduce Re to <1.0, they maintained a stationary state of low endemic viral community transmission from May to September 2020 (refs. ^{10,11}). These findings support that the NPIs implemented in Amazonas brought the first epidemic wave under relative control before herd immunity became established, and were sufficiently effective to provide population 'herd protection' until December 2020 (ref. ¹¹).

Mitigation measures were relaxed from September 2020 onwards, and the Re of clade 28-AM-I returned to >1.0. Nevertheless, the second epidemic wave started only in December 2020, coinciding with the emergence of the VOC P.1 and the second lineage replacement event. Several complementary evidences support that these events were probably driven by the emergence of a more transmissible variant in a context of relaxed social distancing. First, the second lineage replacement event was an abrupt process because the VOC P.1 emerged around late November 2020 and it required <2 months to become the dominant variant in Amazonas. This epidemiologic trajectory was later reproduced in other Brazilian states (http://www.genomahcov.fiocruz. br/). Second, the estimated median Re of the VOC P.1 during December 2020 was 2.2-fold higher than that estimated for clade 28-AM-I in the same period, indicating that P.1 could have been nearly twofold more transmissible than the co-circulating B.1.1.28 parental lineage, consistent with two recent independent studies^{4,9}. Third, the level of SARS-CoV-2 RNA (estimated from the median Ct) in the URT samples from P.1 infections, particularly from adults (18-59 years), was ~tenfold higher than the level

detected in non-P.1 infections, suggesting that P.1-infected adult individuals are more infectious than those harboring non-P.1 viruses^{4,9}. Fourth, recent experimental evidence supports that VOC P.1 displayed both higher affinity for the human receptor ACE2 and increased resistance to antibody neutralization^{6,12-14}, which might provide a substantial selective advantage for transmission of P.1 over other lineages.

Understanding the factors that drive the emergence and expansion of VOCs harboring multiple key mutations in the receptor-binding domain of the Spike protein is of crucial importance. One hypothesis is that the emergence of VOCs resulted from a major change in the selective environment, probably imposed by partial herd immunity in heavily affected regions within which SARS-CoV-2 was evolving¹⁵ Our study of the Amazonian clades that locally evolved between April and December 2020, however, revealed no unusual patterns of intraor interhost viral variability, showing that the local emergence of VOC is an evolutionary event that is challenging to anticipate from the analysis of parental lineages. Another hypothesis is that VOCs result from selective pressures and adaptation of the virus during prolonged individual infections¹⁶. However, the identification—in our study and during subsequent surveillance conducted in Manaus between December 2020 and January 2021 (ref. 17)-of P.1-like viruses that harbor several of the P.1 lineage-defining mutations indicates that P.1 mutations did not accumulate in a single, long-term infection but were acquired in sequential steps as observed in the VOC B.1.351 (ref. 18). The finding that P.1 and P.1-like viruses probably share a most recent common ancestor in late August 2020 further supports that SARS-CoV-2 variants carrying mutations of concern had circulated in Manaus for some time before the emergence of lineage P.1. Although only the lineage P.1 seems to have displayed a rapid dissemination to date, our findings alert for the potential spread of other P.1-related VOC in Amazonas state, or in other Brazilian states.

NATURE MEDICINE

ARTICLES



Fig. 6 | Estimation by RT-PCR of viral load in the URT of patients infected with P.1 versus non-P.1 viruses. a-f, Evaluation of sample collection time from the onset of symptoms between groups for those samples with available information: n = 96 (40 P.1, 56 non-P.1) (**a**), n = 464 (157 P.1, 307 non-P.1) (**b**), n = 161 (44 P.1, 117 non-P.1) (**c**), n = 184 (67 P.1, 117 non-P.1) (**d**), n = 45 (19 P.1, 26 non-P.1) (**e**) and n = 46 (20 P.1, 26 non-P.1) (**f**). Comparison of Ct values among different groups by sex and age range. Horizontal bars represent Ct medians and IQR. Two-sided *P* values for the nonparametric Mann-Whitney test are shown for each group. Exact *P* values are shown above, apart from those <0.0001; two-sided *P* values <0.05 were considered statistically significant.

It is important to stress that our study has some limitations. First, biased sampling across Brazilian states might influence phylogeographic reconstructions of within-country B.1.128 and B.1.1.33 lineage migrations, so the inferred number of importations into Amazonas should be interpreted as lower-bound estimates. Second, within-country spread of lineages B.1.1.28 and B.1.1.33 was inferred using a machine learning phylogeographic approach that does not account for uncertainty in phylogenetic reconstruction, and thus the routes of viral migration described here are plausible hypotheses among alternatives not fully explored in our analyses. Third, estimates of Re obtained here may be influenced by local epidemiological dynamics,

making it challenging to extrapolate the difference in viral transmissibility between P.1 and non-P.1 variants observed in Amazonas to other geographic regions. Fourth, although we removed potential confounders (for example, comparison of only PCR results using the same RNA extraction/real-time RT-PCR protocols and sampled at similar timepoints from symptom onset), Ct comparisons have weaknesses and must be analyzed with caution. VOC P.1 may cause more prolonged infections with a similar peak in viral concentration than non-P.1 lineages, as was recently described for B.1.1.7 (ref. ¹⁹). Moreover, we have no data regarding disease severity for group comparison. Therefore, the difference observed here should be confirmed in other geographic settings, including analysis of Ct dynamics in longitudinal sampled patients with different disease outcomes.

In summary, our findings support that lineage replacements were a recurrent phenomenon in the local evolution of SARS-CoV-2 in Amazonas state, driven by ecological and virological factors. Our findings also indicate that NPIs deployed in Amazonas state in April 2020 were sufficiently effective to reduce the Re of early prevalent local SARS-CoV-2 clades but were insufficient to keep the epidemic under control, allowing the establishment and local persistence of several endemic viral lineages and subsequent emergence of the VOC P.1 in late November/early December 2020. The lack of efficient social distancing and other mitigation measures probably allowed a sudden and accelerated transmission of VOC P.1. At the same time, the higher transmissibility of this VOC further fueled the rapid upsurge in SARS-CoV-2 cases and hospitalizations observed in Manaus following its emergence. Importantly, phylodynamic modeling indicates that NPIs implemented in Manaus since early January 2021 (Supplementary Note) effectively reduced the median Re of the VOC P.1 by approximately 50%. Therefore, our results suggest that weak adoption of NPIs represents a risk for the continuous emergence of new variants. Implementation of efficient mitigation measures, combined with widespread vaccination, will be crucial to controlling the spread of SARS-CoV-2 VOCs in Brazil.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/ s41591-021-01378-7.

Received: 25 February 2021; Accepted: 29 April 2021; Published online: 25 May 2021

References

- Nascimento, V. A. D. et al. Genomic and phylogenetic characterisation of an imported case of SARS-CoV-2 in Amazonas State, Brazil. *Mem. Inst. Oswaldo Cruz* 115, e200310 (2020).
- Fundação em Vigilância e Saúde do Amazonas. Boletim diários dos casos de COVID-19. https://www.fvs.am.gov.br/media/publicacao/21_02_21_BOLETIM_ DIÁRIO_DE_CASOS_COVID-19.pdf (2021).
- Fujino, T. et al. Novel SARS-CoV-2 variant identified in travelers from Brazil to Japan. *Emerg. Infect. Dis.* https://doi.org/10.3201/eid2704.210138 (2021).
- Faria, N. R. et al. Genomics and epidemiology of the P.1 SARS-CoV-2 lineage in Manaus, Brazil. Science https://doi.org/10.1126/science.abh2644 (2021).
- Sabino, E. C. et al. Resurgence of COVID-19 in Manaus, Brazil, despite high seroprevalence. *Lancet* 397, 452–455 (2021).
- Dejnirattisai W. et al. Antibody evasion by the P.1 strain of SARS-CoV-2. Cell https://doi.org/10.1016/j.cell.2021.03.055 (2021).
- Buss, L. F. et al. Three-quarters attack rate of SARS-CoV-2 in the Brazilian Amazon during a largely unmitigated epidemic. *Science* 371, 288–292 (2021).
- Naveca, F. et al. Three SARS-CoV-2 reinfection cases by the new Variant of Concern (VOC) P.1/501Y.V3. Preprint at *Res. Sq.* https://doi.org/10.21203/ rs.3.rs-318392/v1 (2021).
- Coutinho, R. M. et al. Model-based estimation of transmissibility and reinfection of SARS-CoV-2 P.1 variant. Preprint at *medRxiv* https://doi. org/10.1101/2021.03.03.21252706 (2021).
- Mellan, T. A. et al. Subnational analysis of the COVID-19 epidemic in Brazil. Preprint at medRxiv https://doi.org/10.1101/2020.05.09.20096701 (2020).
- He, D., Artzy-Randrup, Y., Musa, S. S. & Stone, L. The unexpected dynamics of COVID-19 in Manaus, Brazil: was herd immunity achieved? Preprint at *medRxiv* https://doi.org/10.1101/2021.02.18.21251809 (2021).
- Hoffmann, M. et al. SARS-CoV-2 variants B.1.351 and P.1 escape from neutralizing antibodies. *Cell* https://doi.org/10.1016/j.cell.2021.03.036 (2021).
- Garcia-Beltran, W. F. et al. Multiple SARS-CoV-2 variants escape neutralization by vaccine-induced humoral immunity. *Cell* https://doi.org/10.1016/j.cell. 2021.03.013 (2021).
- Wang, P. et al. Increased resistance of SARS-CoV-2 variant P.1 to antibody neutralization. *Cell Host Microbe* https://doi.org/10.1016/j.chom.2021.04.007 (2021).
- Martin, D. P. et al. The emergence and ongoing convergent evolution of the N501Y lineages coincides with a major global shift in the SARS-CoV-2 selective landscape. Preprint at *medRxiv* https://doi.org/10.1101/2021.02.23. 21252268 (2021).
- McCormick, K. D., Jacobs, J. L. & Mellors, J. W. The emerging plasticity of SARS-CoV-2. Science 371, 1306–1308 (2021).
- Resende, P. C. et al. The ongoing evolution of variants of concern and interest of SARS-CoV-2 in Brazil revealed by convergent indels in the amino (N)-terminal domain of the Spike protein. Preprint at *medRxiv* https://doi. org/10.1101/2021.03.19.21253946 (2021).
- 18. Tegally, H. et al. Detection of a SARS-CoV-2 variant of concern in South Africa. *Nature* https://doi.org/10.1038/s41586-021-03402-9 (2021).
- Kissler, S. M. et al. Densely sampled viral trajectories suggest longer duration of acute infection with B.1.1.7 variant relative to non-B.1.1.7 SARS-CoV-2. Preprint at *medRxiv* https://doi.org/10.1101/2021.02.16.21251535 (2021).

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

© The Author(s), under exclusive licence to Springer Nature America, Inc. 2021

Methods

SARS-CoV-2 samples and ethical aspects. We collected nasopharyngeal and pharvngeal swabs from 644 residents in Amazonas state with available demographic data (320 male, median age 44 years (interquartile range (IQR) = 31.0-57.7); 324 female, median age 43 years (IQR = 30.2-56.0)) that were positively tested by real-time RT-PCR as a routine diagnostic for COVID-19 using any of the following commercial assays: SARS-CoV2 (E/RP) (Biomanguinhos), Allplex 2019-nCoV Assay (Seegene) or an in-house protocol following US Centers for Disease Control and Prevention (CDC) guidelines (https://www.fda.gov/ media/134922/download). Among those 644 nasopharyngeal and pharyngeal swab samples, 250 were submitted to nucleotide sequencing and 394 were evaluated only for P.1/VOCs by the real-time RT-PCR developed in this study. Fiocruz/ ILMD is one of the official laboratories designated for SARS-CoV-2 testing under the auspices of a network coordinated by the Amazonas State Health Foundation (FVS-AM) and the Brazilian Ministry of Health. This study was conducted at the request of the SARS-CoV-2 surveillance program of FVS-AM. It was approved by the Ethics Committee of Amazonas State University (no. 25430719.6.0000.5016), which waived signed informed consent.

Detection of SARS-CoV-2 P.1/VOCs by RT-PCR. A total of 1,626 SARS-CoV-2positive samples collected between 1 November 2020 and 31 January 2021 (including those 394 with demographic data) were submitted to a real-time RT-PCR screening test designed for the detection of VOCs that use a forward primer (P.1/VOCs-FNF 5'- GGGTGATGCGTATTATGACATGGTTGG), a reverse primer (P.1/VOCs-FNR 5'- CTAGCACCATCATCATACACAGTTCTTGC) and a probe (P.1/VOCs-FNP 5' FAM (ZEN)- TGGTTGATACTAGTTTGAAGCTAAAA), to detect the ORF1b deletion (NSP6: S106del, G107del, F108del) found in the three VOCs (P.1, B.1.1.7 and B.1.351). Both primers were used at 300 nM and the probe at 150 nM (final concentration), with TagMan one-step Fast Virus master Mix (ThermoFisher Scientific, no. 4444434). All real-time RT-PCR data collected in this experiment were acquired using the QuantStudio 5 Real-Time PCR System and QuantStudio design & analysis software v.1.4.1 (ThermoFisher Scientific). We previously validated this assay against 185 high-quality, full SARS-CoV-2 genomes, 59 non-P.1 and 126 P.1 (Supplementary Table 7). All oligos used in this study were manufactured by IDT DNA. Because we have not detected the circulation of VOCs B.1.1.7 and B.1.351 in Amazonas state, we use the frequency of NSP6 deletion among real-time RT-PCR positives as a reliable proxy for frequency of the VOC P.1.

SARS-CoV-2 amplification and sequencing. A total of 250 SARS-CoV-2-positive samples (122 male, 128 female; median age 43 years, IQR = 32-46) collected from residents of 25 out of 62 municipalities in Amazonas state, including the capital Manaus, between 16 March and 13 January were subjected to amplification and next-generation sequencing as previously described¹, now with a reduced number of amplicons (nine rather than 15) of mean average size ~3,500 bp (ref. 8). Briefly, RNAs were extracted with the Maxwell RSC Viral Total Nucleic Acid Purification Kit (Promega, no. AS1330) and then converted to complementary DNA with Superscript IV reverse transcriptase (ThermoFisher Scientific, no. 18090200). Amplicons were amplified with SuperFiII Green PCR master mix (a proofreading DNA polymerase with >300×Taq fidelity from ThermoFisher Scientific (no. 12369010)), precipitated with PEG 8000 (Promega, no. V3011) and quantified using a fluorimeter. Normalized pooled amplicons of each sample were used to prepare next-generation sequencing libraries with Nextera XT (no. FC-131-1096) and clustered with 500 cycles of MiSeq Reagent Kit v.2 (no. MS-102-2003) on 2×250 cycles or 2×150 cycles (no. MS-103-1002) of paired-end runs. All sequencing data were collected using the MiSeq sequencing platform and Miseq Control software v.2.6.2.1 (Illumina).

SARS-CoV-2 whole-genome consensus sequences and genotyping. FASTQ reads were generated by the Illumina pipeline at BaseSpace (https://basespace.illumina. com). All files were downloaded and imported into Geneious v.10.2.6 for trimming and assembly using a customized workflow employing BBDuk and BBMap tools (v.37.25) and the NC_045512.2 RefSeq as a template. Using a threshold of at least 50% to call a base, we generated consensus sequences with mean depth coverage of 2,689× (95% CI of mean 2,376-3,002), with only eight genomes having <1,000× depth coverage. The mean number of mapped reads was 512,967 (95% CI of mean 441,779-584,154), covering at least 98.9% of the RefSeq genome. The final consensus sequences had at least 94% bases with Q score = 30, zero ambiguities and were carefully inspected when a disagreement with RefSeq was observed. Coverage and pairwise identity percentages, as well as the total number of mapped reads (without duplicates) to RefSeq and the percentage of high-quality bases in consensus, were calculated for all consensus files and are shown in Supplementary Table 1. Consensus sequences were initially assigned to viral lineages according to the nomenclature proposed by Rambaut et al.20, using the Pangolin web application (https://pangolin.cog-uk.io) and later confirmed by phylogenetic analyses.

Intrahost SARS-CoV-2 genomic variability. Raw sequencing reads and primer sequences were removed with Trimmomatic v.0.26 (ref. ²¹) using default parameters. Reads that passed quality filtering were then mapped against the

reference genome (NC_045512.2) using the Bowtie2 software v.2.3.5.1 (ref. ²²). A.bed file was generated with bedtools v.2.15.0 (ref. ²³), SAMtools v.1.10 (ref. ²⁴) and vcftools v.0.1.13 (ref. ²⁵) using the internal parameter vcf-annotated (parameters-filter Qual = 20/MinDP = 100/SnpGap = 20), meaning that only those nucleotide variants supported by reads with mapping quality >20 and at least 100 sequencing coverage depth would be retained in the intermediate variant call file. To characterize the viral intrahost population, we identified all MVs found in the samples—that is, nucleotides highly supported by 10–49% of the reads in a given position and that were not included in the final majority consensus genome. We then replaced the nucleotides supported by the majority of reads by MVs in the consensus genome to evaluate the impact of synonymous and nonsynonymous nucleotide variation between major and minor variants. We performed the synonymous and nonsynonymous analysis using an R pipeline developed for SARS-CoV-2 (ref. ²⁶) with Rv.4.0.3 and RStudio v.1.4.1103.

Discrete maximum likelihood and Bayesian phylogeography. All high-quality (<1% N, or non-identified nucleotide) complete (>29 kb) SARS-CoV-2 genomes of lineages B.1.1.28 (n = 512) and B.1.1.33 (n = 595) sampled in Brazil, and of lineage B.1.195 sampled worldwide (n = 110), that were available on GISAID (https://www.gisaid.org/) as of 13 January 2021, were downloaded. SARS-CoV-2 complete genome sequences were aligned using MAFFT v.7.475 (ref. 27). The B.1.1.28 and B.1.1.33 datasets were subjected to maximum likelihood phylogenetic analysis using IQ-TREE v.2.1.2 (ref. 28) under a general time-reversible (GTR) model of nucleotide substitution with a gamma-distributed rate variation among sites and four rate categories (G4), a proportion of invariable sites (+I) and empirical base frequencies (+F), as selected by the ModelFinder application^{29,30}. Branch support was assessed by the approximate likelihood-ratio test based on the Shimodaira-Hasegawa-like procedure with 1,000 replicates. Time-scaled phylogeographic maximum likelihood phylogenetic trees of Brazilian B.1.1.28 and B.1.1.33 datasets were reconstructed using Treetime v.0.8.1 (ref. ³¹), with a fixed substitution rate (8×10^{-4} substitutions per site per year) coupled with an ancestral character reconstruction of epidemic locations using PASTML v.1.9.15 (ref. 32) with marginal posterior probabilities approximation and an F81-like model. A time-scaled Bayesian phylogeographic analysis was performed for B.1.195 sampled worldwide using the Bayesian Markov chain Monte Carlo (MCMC) approach, implemented in BEAST v.1.10.4 (ref. 33) with BEAGLE library v.3 (ref. 34), to improve computational time. The Bayesian tree was reconstructed using the GTR+F+I+G4 nucleotide substitution model, the nonparametric Bayesian skyline model as the coalescent tree prior³⁵, a strict molecular clock model with a uniform substitution rate prior $(8-10 \times 10^{-4} \text{ substitutions per site per year})$ and a reversible discrete phylogeographic model³⁶ with a continuous-time Markov chain (CTMC) rate reference prior³⁷. Additionally, the nine-nucleotide deletion at nsp1 (delta 640-648: K141, S142, F143) characteristic of the 195-AM clade was incorporated as an informative trait in phylogenetic reconstruction, and transitions were modeled with a symmetric CTMC rate prior. Three MCMC chains were run for 100 million generations and then combined to ensure stationarity and good mixing. Convergence (effective sample size >200) in parameter estimates was assessed using TRACER v.1.7 (ref. 38). The maximum clade credibility (MCC) tree was summarized with TreeAnnotator v.1.10. Maximum likelihood and MCC trees were visualized using FigTree v.1.4.4 (http://tree.bio.ed.ac.uk/software/figtree/).

Continuous Bayesian phylogeography. The phylogenetic diffusion of SARS-CoV-2 clades from Amazonas state identified by the maximum likelihood analysis (195-AM, 28-AM-I and 28-AM-II) was estimated with the heterogeneous relaxed random walk model and a Cauchy distribution³⁹, previously applied to SARS-CoV-2 in Brazil⁴⁰, using BEAST v.1.10.4 (ref. ³³) as explained above. We used strict and local molecular clock models^{41,42} with a uniform substitution rate prior (8–10×10⁻⁴ substitutions per site per year) to estimate evolutionary rates. Viral spatial–temporal diffusion was analyzed and visualized in SPREAD v.1.0.7 (ref. ⁴³), and further projected in maps generated with QGIS v.3.10.2 software (http://qgis. org) using public access data downloaded from the GADM v.3.6 database (https://gadm.org). For each lineage and molecular clock model, one MCMC chain was run for 150 million generations and stationarity and mixing were checked as explained above.

Data for social distancing trends. The social distancing trends were obtained from a commercial company (http://inloco.com.br). Inloco's isolation index analyzes people's movements for different levels, states, cities and microregions inferred from proprietary technology. Thus, the higher the index the greater the degree of isolation estimated from the site. This index has been used by several Brazilian states' decision-making authorities since the beginning of the pandemic.

Estimation of Re. To estimate the Re of the Amazonian SARS-CoV-2 clades over time we used the BDSKY model⁴⁴ implemented within BEAST 2 v.2.6.2 (ref. ⁴⁵). The sampling rate (*d*) was set to zero for the period before the oldest sample and then estimated from the data. The BDSKY prior settings were as follows: become uninfectious rate (exponential, mean = 36); reproductive number (log normal, mean = 0.8, s.d. = 0.5); sampling proportion (beta, alpha = 1, beta = 100). Origin parameter was conditioned to root height, and Re was estimated in a piecewise

NATURE MEDICINE

manner over six time intervals (monthly) to the 195-AM clade, five time intervals (bimonthly) to the 28-AM-I clade and two equal time intervals to the P.1 clade. Time intervals were defined from the date of the most recent sample up to the root of the tree. The molecular clock and substitution model were as in the phylogeographic analysis. One MCMC chain was run for 20 million generations and then checked for stationarity and mixing, as explained above.

Statistical analysis. Descriptive statistics, testing for normal distribution (D'Agostino and Pearson and Anderson–Darling) and the nonparametric Mann–Whitney test were used to compare the Ct of SARS-CoV-2 RT–PCR-positive samples from the URT of patients infected with P.1 versus non-P.1 viruses. To avoid bias, only Ct values from samples analyzed by the same RNA extraction method (Promega Maxwell) and the same real-time RT–PCR diagnostic assay (for example, the CDC assay) were compared. The threshold for statistical significance was set to P < 0.05 using two-sided tests. Graphics and statistical analyses were performed using GraphPad v.9.01 and v.9.02 (Prism Software).

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability

All SARS-CoV-2 genomes generated and analyzed in this study are available at the EpiCoV database in GISAID (https://www.gisaid.org) under IDs EPI_ ISL_792560, EPI_ISL_801386-801403, EPI_ISL_811148, EPI_ISL_811149, EPI_ISL_833131-833140, EPI_ISL_1034304-1034306, EPI_ISL_1068078-1068292 and EPI_ISL_1661250-1661252. Figures 1a and 5b were created with data provided by http://info.gripe.fiocruz.br, SEMULSP-Manaus and FVS-AM. Administrative areas presented in Fig. 4 were provided by the GADM v.3.6 database (http://gddm. org). Detailed results of MV detection are available at GitHub (https://github.com/ dezordi/mFinder/tree/naveca_et_al_2021/supplementary_data). Source data are provided with this paper.

Code availability

We have made available all scripts used for MV detection (https://github.com/ dezordi/mFinder) and BEAST XML files (https://github.com/dezordi/mFinder/ tree/naveca_et_al_2021/phylo_files) in GitHub folders.

References

- Rambaut, A. et al. A dynamic nomenclature proposal for SARS-CoV-2 lineages to assist genomic epidemiology. *Nat. Microbiol.* https://doi.org/ 10.1038/s41564-020-0770-5 (2020).
- Bolger, A. M., Lohse, M. & Usadel, B. Trimmomatic: a flexible trimmer for Illumina sequence data. *Bioinformatics* 30, 2114–2120 (2014).
- Langmead, B. & Salzberg, S. L. Fast gapped-read alignment with Bowtie 2. Nat. Methods 9, 357–359 (2012).
- Quinlan, A. R. BEDTools: the Swiss-Army tool for genome feature analysis. *Curr. Protoc. Bioinformatics* 47, 11.12.1–34 (2014).
- Li, H. et al. The Sequence Alignment/Map format and SAMtools. Bioinformatics 25, 2078–2079 (2009).
- Dancek, P. et al. The variant call format and VCFtools. *Bioinformatics* 27, 2156–2158 (2011).
- Mercatelli, D. & Giorgi, F. M. Geographic and genomic distribution of SARS-CoV-2 mutations. *Front. Microbiol.* 11, 1800 (2020).
- Katoh, K. & Standley, D. M. MAFFT multiple sequence alignment software version 7: improvements in performance and usability. *Mol. Biol. Evol.* 30, 772–780 (2013).
- Nguyen, L. T., Schmidt, H. A., von Haeseler, A. & Minh, B. Q. IQ-TREE: a fast and effective stochastic algorithm for estimating maximum-likelihood phylogenies. *Mol. Biol. Evol.* 32, 268–274 (2015).
- Tavaré, S. Some probabilistic and statistical problems in the analysis of DNA sequences. *Lectures on Mathematics in the Life Sciences* https://www.damtp. cam.ac.uk/user/st321/CV_&_Publications_files/STpapers-pdf/T86.pdf (1986).
- Kalyaanamoorthy, S., Minh, B. Q., Wong, T. K. F., von Haeseler, A. & Jermiin, L. S. ModelFinder: fast model selection for accurate phylogenetic estimates. *Nat. Methods* 14, 587–589 (2017).
- Sagulenko, P., Puller, V. & Neher, R. A. TreeTime: maximum-likelihood phylodynamic analysis. Virus Evol. https://doi.org/10.1093/ve/vex042 (2018).
- Ishikawa, S. A., Zhukova, A., Iwasaki, W. & Gascuel, O. A fast likelihood method to reconstruct and visualize ancestral scenarios. *Mol. Biol. Evol.* 36, 2069–2085 (2019).
- 33. Suchard, M. A. et al. Bayesian phylogenetic and phylodynamic data integration using BEAST 1.10. *Virus Evol.* **4**, vey016 (2018).
- Suchard, M. A. & Rambaut, A. Many-core algorithms for statistical phylogenetics. *Bioinformatics* 25, 1370–1376 (2009).

- Drummond, A. J., Rambaut, A., Shapiro, B. & Pybus, O. G. Bayesian coalescent inference of past population dynamics from molecular sequences. *Mol. Biol. Evol.* 22, 1185–1192 (2005).
- Lemey, P., Rambaut, A., Drummond, A. J. & Suchard, M. A. Bayesian phylogeography finds its roots. *PLoS Comput. Biol.* 5, e1000520 (2009).
- Ferreira, M. A. R. & Suchard, M. A. Bayesian analysis of elapsed times in continuous-time Markov chains. *Can. J. Stat.* 36, 355–368 (2008).
- Rambaut, A., Drummond, A. J., Xie, D., Baele, G. & Suchard, M. A. Posterior summarisation in Bayesian phylogenetics using Tracer 1.7. Syst. Biol. https://doi.org/10.1093/sysbio/syy032 (2018).
- Lemey, P., Rambaut, A., Welch, J. J. & Suchard, M. A. Phylogeography takes a relaxed random walk in continuous space and time. *Mol. Biol. Evol.* 27, 1877–1885 (2010).
- Candido, D. S. et al. Evolution and epidemic spread of SARS-CoV-2 in Brazil. Science 369, 1255–1260 (2020).
- Drummond, A. J. & Suchard, M. A. Bayesian random local clocks, or one rate to rule them all. *BMC Biol.* 8, 114 (2010).
- Yoder, A. D. & Yang, Z. Estimation of primate speciation dates using local molecular clocks. *Mol. Biol. Evol.* 17, 1081–1090 (2000).
- Bielejec, F., Rambaut, A., Suchard, M. A. & Lemey, P. SPREAD: spatial phylogenetic reconstruction of evolutionary dynamics. *Bioinformatics* 27, 2910–2912 (2011).
- 44. Stadler, T., Kuhnert, D., Bonhoeffer, S. & Drummond, A. J. Birth-death skyline plot reveals temporal changes of epidemic spread in HIV and hepatitis C virus (HCV). Proc. Natl Acad. Sci. USA 110, 228–233 (2013).
- 45. Bouckaert, R. et al. BEAST 2.5: an advanced software platform for Bayesian evolutionary analysis. *PLoS Comput. Biol.* **15**, e1006650 (2019).

Acknowledgements

We thank all the health care workers and scientists who have worked hard to deal with this pandemic threat, the GISAID team and all the EpiCoV database submitters-in particular, the Japanese National Institute of Infectious Diseases members T. Sekizuka, K. Itokawa, R. Tanaka and M. Hashino-for publication of genomes. A GISAID acknowledgment table containing sequences used in this study is shown in Supplementary Table 8. We also thank N. Faria for sharing unpublished findings regarding the SARS-CoV-2 B.1.1.28 lineage. We also appreciate the support of Genomic Coronavirus Fiocruz Network members and the Respiratory Viruses Genomic Surveillance Network of the General Laboratory Coordination of the Brazilian Ministry of Health, Brazilian Central Laboratory States and the Amazonas surveillance teams for their partnership in viral surveillance in Brazil. Funding support is acknowledged from Fundação de Amparo à Pesquisa do Estado do Amazonas FAPEAM (PCTI-EmergeSaude/AM call 005/2020 and Rede Genômica de Vigilância em Saúde), Conselho Nacional de Desenvolvimento Científico e Tecnológico - CNPq (grant no. 403276/2020-9) and Inova Fiocruz/Fundação Oswaldo Cruz (grant no. VPPCB-007-FIO-18-2-30-Geração de conhecimento), received by F.G.N., and Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro - FAPERJ (grant no. E-26/202.896/2018), received by G.B. F.G.N. and G.B. are also supported by CNPq through their productivity research fellowships (306146/2017-7 and 302317/2017-1, respectively). In loving memory of F. R. Naveca, C. R. dos Santos, V. L. Costa de Souza and all the relatives and colleagues we have lost to COVID-19.

Author contributions

F.G.N. contributed to writing of the report, data analysis, laboratory management and obtaining financial support. V.N., V.C.d.S., A.d.L.C., F.N., G.S., A.C., D.D., K.P., M.M., M.J.B., M.J. and L.G. contributed to diagnostics and sequencing analysis. C.F.d.C., V.S., D.B., M.S., T.M., G.P., L.A. and J.H.S. contributed to patient and public health surveillance data. I.A. and F.Z.D. contributed to formal data analysis of sequence diversity. M.M.S., G.L.W., P.C.R., E.D., T.G. and G.B. contributed to formal data analysis and writing and editing of the report.

Competing interests

The authors declare no competing interests.

Additional information

Extended data is available for this paper at https://doi.org/10.1038/s41591-021-01378-7.

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41591-021-01378-7.

Correspondence and requests for materials should be addressed to F.G.N. or G.B.

Peer review information *Nature Medicine* thanks Richard Neher, Xiang Ji and the other, anonymous, reviewer(s) for their contribution to the peer review of this work. Alison Farrell was the primary editor on this article and managed its editorial process and peer review in collaboration with the rest of the editorial team.

Reprints and permissions information is available at www.nature.com/reprints.

NATURE MEDICINE

ARTICLES



Extended Data Fig. 1 | See next page for caption.

NATURE MEDICINE

Extended Data Fig. 1 Maximum Likelihood (ML) phylogeographic analysis. ML phylogeographic analysis of lineages B.1.28/P.2 (n = 674) (**a**) and B.1.1.33 (n = 602) (**b**) in Brazil. Ancestral character state reconstruction was done in PastML with time-scaled trees. Importation and exportation events deduced from location state changes toward (n = 28) and from (n = 2) the Amazon state are detailed. The singleton Amazonian sequences and the MRCA of Amazonian clusters are indicated by black outlined circular shapes. Shaded boxes indicate the Amazonian clusters and the sub-clades that define lineages P.1 and P.2. The origin or destination of the event is indicated alongside the estimated date (Amazonian clusters) or sampling date (singletons). All locations are colored according to the legend in the bottom right.



Extended Data Fig. 2 | Minor variants density representation. Violin plot showing the density of samples with varying degrees of minor variants in two different sampling periods (reddish March-September 2020 - n = 67 biologically independent samples and blueish October 2020 to January 2021 - n = 60 biologically independent samples). Data are presented as mean values (white dots into violin plots) +/- standard deviation (SD based on values between first and third quartile, black boxplot) and adjacent values (Upper and Lower) present with vertical black lines.

nature research

Corresponding author(s): Felipe Gomes Naveca and Gonzalo Bello

Last updated by author(s): Apr 25, 2021

Reporting Summary

Nature Research wishes to improve the reproducibility of the work that we publish. This form provides structure for consistency and transparency in reporting. For further information on Nature Research policies, see our <u>Editorial Policies</u> and the <u>Editorial Policy Checklist</u>.

Statistics

For	all st	atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.
n/a	Cor	firmed
	\boxtimes	The exact sample size (n) for each experimental group/condition, given as a discrete number and unit of measurement
	\square	A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
	\boxtimes	The statistical test(s) used AND whether they are one- or two-sided Only common tests should be described solely by name; describe more complex techniques in the Methods section.
\boxtimes		A description of all covariates tested
	\square	A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
	\boxtimes	A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
		For null hypothesis testing, the test statistic (e.g. F, t, r) with confidence intervals, effect sizes, degrees of freedom and P value noted Give P values as exact values whenever suitable.
	\boxtimes	For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
\boxtimes		For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
\boxtimes		Estimates of effect sizes (e.g. Cohen's d, Pearson's r), indicating how they were calculated
		Our web collection on <u>statistics for biologists</u> contains articles on many of the points above.

Software and code

Policy information about availability of computer code					
Data collection	QuantStudio design & analysis software v1.4.1 and Miseq control software v2.6.2.1				
Data analysis	Commercial: Geneious v10.2.6; Graphpad Prism 9.0.1 and 9.0.2. Open Source: BBDuk and BBMap tools (v37.25) embedded as a plugin in Geneious v10.2.6; Trimmomatic 0.26, Bowtie2 v2.3.5.1; bedtools v2.15.0, samtools v1.10 and vcftools v0.1.13 were used for read mapping and minor variants detection. In addition we used a R pipeline described in the manuscript 10.3389/fmicb.2020.01800. Mafft v7.475; IQ-TREE v2.1.2; Treetime v0.8.1; PastML v1.9.15; BEAST v1.10.4; BEAGLE library v3; BEAST 2 v2.6.2; Tracer v1.7; TreeAnnotator v1.10; FigTree v1.4.4; SPREAD v1.0.7; QGIS v3.10.2; GADM v3.6 database, R v4.0.3; RStudio v1.4.1103. We have made all scripts used for MV detection (https://github.com/dezordi/mFinder/tree/naveca_et_al_2021/supplementary_data) and BEAST XML files (https://github.com/dezordi/mFinder/tree/naveca_et_al_2021/phylo_files) available in GitHub folders.				

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Research guidelines for submitting code & software for further information.

Data

Policy information about availability of data

All manuscripts must include a <u>data availability statement</u>. This statement should provide the following information, where applicable: - Accession codes, unique identifiers, or web links for publicly available datasets

- A list of figures that have associated raw data
- A description of any restrictions on data availability

All the SARS-CoV-2 genomes generated and analyzed in this study are available at EpiCoV database in GISAID (https://www.gisaid.org), under the IDs EPI_ISL_792560; EPI_ISL_801386 to EPI_ISL_801403; EPI_ISL_811148; EPI_ISL_811149; EPI_ISL_833131 to EPI_ISL_833140; EPI_ISL_1034304 to EPI_ISL_1034306;

EPI_ISL_1068078 to EPI_ISL_1068292; EPI_ISL_1661250 to EPI_ISL_1661252. Figure 1a and 5b were made with data provided by http://info.gripe.fiocruz.br, SEMULSP-Manaus, and FVS-AM. Administrative areas present in figure 4 were provided by GADM v3.6 database (http://gadm.org). We have made all scripts used for MV detection (https://github.com/dezordi/mFinder), detailed results (https://github.com/dezordi/mFinder/tree/naveca_et_al_2021/supplementary_data) and BEAST XML files (https://github.com/dezordi/mFinder/tree/naveca_et_al_2021/phylo_files) available in GitHub folders.

Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.

Life sciences

ΠB

Behavioural & social sciences Ecological, evolutionary & environmental sciences

For a reference copy of the document with all sections, see <u>nature.com/documents/nr-reporting-summary-flat.pdf</u>

Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	Sampling size for genome sequencing was defined by the resources available and two criteria to select samples for sequencing: Ct value <25 and samples distributed for all possible available municipalities. Because sampling was roughly proportional to the number of reported cases over time, they provide an accurate picture of the temporal evolution of SARS-CoV-2 diversity in Amazonas. Regarding the genotyping assays for P.1/VOCs, we used 1,626 SARS-CoV-2 positive samples collected from 1st November to 31st January. Finally, for Ct levels comparison, we used all available samples that were initially evaluated using the same RNA and real-time PCR protocol.
Data exclusions	To avoid potential biases on the genome analysis, we built a dataset containing only high-quality (<1% of Ns) complete genomes (>29 kb) collected from the GISAID database. We are highly committed to generating and sharing only high-quality sequencing data; thus, the threshold for our data was even more restricted. The 250 genomes we generate and analyzed in this study had zero ambiguities (zero Ns) and more than 29,500bp in each final consensus sequence. Regarding the viral load experiments, we had a pre-established criterion to avoid biases. Only Ct values from samples analyzed with the same RNA extraction method (Promega Maxwell), and the same real-time RT-PCR diagnostic assay (e.g., the CDC assay) were compared. We believe that this rationale could strength the meaning of the results found for those experiments.
Replication	Sequencing and real-time PCR data were not replicated due time and funding limitations. To have trustful consents sequences, we applied a strategy on in-depth mean coverage. Thus, the generated genomes showed a mean depth coverage of approximately 2,600X.
Randomization	For sequencing purposes, we select samples based on geographical (as many municipalities of the Amazonas State as possible) and longitudinal data (over the entire study period). We also included approximately 70% of the sequenced samples from the capital Manaus, reflecting the proportion of confirmed infected cases capital vs. interior. We used a cut-off of a Ct value < 25 to ensure that all genomes would be fully sequenced, and no previous data regarding the disease outcome were used as selection criteria.
Blinding	Regarding the experiments of genome analysis (e.g., lineages descriptions and phylogeographic analysis), blinding was not relevant because our goal was to analyze how SARS-CoV-2 spread over the Amazonas State. Therefore, we did all possible to have samples from as many municipalities as possible over the entire study period. Even though we had a balanced distribution regarding sex (122 male and 128 female) and age (male median 44 and female median 43). Moreover, SARS-CoV-2 lineage assignment could only be confirmed after sequencing analysis. Regarding the experiments of viral load comparison, we were not blinded to group allocation since it was necessary to remove those samples where biases could occur, for example, different RNA extraction methods and RT-qPCR protocols. Furthermore, we also stratified for sex and age to compare groups.

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experimental systems

M	et	ho	ds
1 1 1	υu	10	u J

n/a	Involved in the study	n/a	Involved in the study
\boxtimes	Antibodies	\ge	ChIP-seq
\boxtimes	Eukaryotic cell lines	\ge	Flow cytometry
\boxtimes	Palaeontology and archaeology	\ge	MRI-based neuroimaging
\boxtimes	Animals and other organisms		
	🔀 Human research participants		
\times	Clinical data		

Dual use research of concern

Human research participants

Policy information about studies involving human research participants

Population characteristics	We collected nasopharyngeal and pharyngeal swabs from 644 residents in the Amazonas State (320 male, median age 44 years-old [IQR 31 - 57.7]; 324 female, median age 43 years-old [IQR 30.2 - 56] which were positively tested by Real-time PCR as a routine diagnostic for COVID-19. Among all, 250 were sequenced.
Recruitment	Patients were not directly recruited since we work with samples that were detected as positive for SARS-CoV-2 in the routine surveillance under the auspices of the Amazonas State Health Foundation (FVS-AM). This study protocol was approved by the Ethics Committee of the Amazonas State University (CAAE: 25430719.6.0000.5016), which waived the signed informed consent. We were blinded to the disease outcomes to avoid selection bias. However, we did all possible to have samples from the entire Amazonas State represented, including as many municipalities as we could, over the entire period of the study. On the other hand, we kept approximately 70% of the samples submitted to viral genome sequencing from the capital Manaus, reflecting the proportion of confirmed infected cases capital vs. interior. We also established a cut-off of a Ct value <25 to ensure entirely genome sequencing. We believe that this Ct criterion had little impact (if any) on the diversity of viral lineages found since we were able to identify ten different ones.
Ethics oversight	Universidade do Estado do Amazonas research ethics committee.

Note that full information on the approval of the study protocol must also be provided in the manuscript.