



Sequence-based diagnostics and precision medicine in bacterial and viral infections: from bench to bedside

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Purpose of review

Nucleic acid sequence-based organism identification plays an important role in the diagnosis and management of transplant and cancer-associated infectious diseases. Here, we provide a high-level overview of advanced sequencing technologies, discuss test performance, and highlight unmet research needs with a focus on immunocompromised hosts.

Recent findings

Next-generation sequencing (NGS) technologies are powerful tools with a growing role in managing immunocompromised patients with suspected infection. Targeted NGS (tNGS) can identify pathogens directly from patient specimens, especially for mixed samples, and has been used to detect resistance mutations in transplant-related viruses (e.g. CMV). Whole-genome sequencing (WGS) is increasingly used for outbreak investigations and infection control. Metagenomic NGS (mNGS) is useful for hypothesis-free testing and can simultaneously assess pathogens and host response to infection.

Summary

NGS testing increases diagnostic yield relative to standard culture and Sanger sequencing but may be limited by high cost, turnaround times, and detection of unexpected organisms or commensals of uncertain significance. Close collaboration with the clinical microbiology laboratory and infectious diseases is recommended when NGS testing is considered. Additional research is required to understand which immunocompromised patients are most likely to benefit from NGS testing, and when testing should ideally be performed.

Keywords

immunocompromised, next generation, sequencing, transcriptomics

INTRODUCTION

Molecular diagnostic testing has revolutionized the detection and identification of pathogens directly in clinical specimens. Rapid multiplex polymerase chain reaction (PCR) panels are widely available for common infectious syndromes and are now considered integral to the routine care of immunocompromised hosts. These assays are designed to detect the most common community-acquired pathogens and may miss important opportunistic microbes [1]. In addition, current multiplex PCR platforms provide limited antimicrobial resistance (AMR) information. Sequencing can narrow this diagnostic gap by allowing clinicians to evaluate for a wider range of pathogens and AMR markers than is possible with multiplex testing [2].

'First generation' sequencing implies standard Sanger sequencing, whereas next-generation

sequencing (NGS) encompasses methods that enable massively parallel or deep sequencing [3^{***}]. NGS assays may be designed to be targeted (tNGS) towards an organism or group of organisms or can be designed as 'shotgun' metagenomic (mNGS) approaches where all of the microbial DNA and/or

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KEY POINTS

- Sequencing has various applications, including pathogen detection directly from specimens, antimicrobial resistance gene detection, isolate identification, and strain typing.
- tNGS and mNGS increase diagnostic yield relative to Sanger sequencing and culture, but at the current time, they cannot replace CMT.
- Host gene expression profiling is an emerging approach that may help differentiate infectious inflammation from noninfection as well as differentiate viral from bacterial infections.

RNA is analyzed in a clinical sample without knowing in advance what organism(s) are likely to be present [4]. This is also referred to as ‘unbiased’ or ‘agnostic’ sequencing. Whole-genome sequencing (WGS) implies the analysis of the entire genomic content of an organism and is currently most frequently performed using a cultured isolate [5]. A summary of the advantages and disadvantages of sequence-based diagnostics are described in Table 1. This review highlights recent studies of advanced sequencing methods for viruses and bacteria affecting immunocompromised populations.

SANGER SEQUENCING AND TARGETED NEXT GENERATION SEQUENCING

Sanger sequencing is the method most commonly used in clinical microbiology and is considered the operational ‘gold standard’ [8,9]. The primary genetic target for bacterial Sanger sequencing is the highly conserved 16S rRNA gene [10,11], which is present in the majority of bacteria [12,13]. The gene also includes nine hypervariable regions (V1–V9) [13–15] (Fig. 1) that confer different levels of discriminatory power among bacteria [16]. PCR of the 16S rRNA gene followed by Sanger sequencing can be performed on cultured isolates or directly from specimens, including normally sterile body fluids as well as fresh or fixed tissue. This approach has demonstrated utility over culture, including the identification of potential pathogens in 10% of culture-negative cases and positive impact on clinical management decisions in 5% of patients [17]. This approach is also useful when only fixed pathology tissue specimens are available as well as for rare and/or fastidious organisms [18,19].

Next-generation sequencing (NGS) is a high-throughput sequencing method with higher resolution and accuracy than Sanger sequencing [20]. Due to the massive parallel reading capacity of NGS, sequencing the entire biome in the sample is

Table 1. Advantages and disadvantages of sequencing-based technologies ‘ORIGINAL’

	Common uses in clinical microbiology	Advantages	Disadvantages
Sanger sequencing [2,6,8]	16S rRNA bacterial sequencing Sources: direct specimen (fresh or fixed tissue, normally sterile body fluids), isolates	Most accessible Cheaper than other sequencing technologies	Laborious Limited resolution with polymicrobial samples
Targeted next-generation sequencing (tNGS) [5,19]	16S rRNA bacterial sequencing Antiviral resistance testing Sources: same as 16S	Can differentiate polymicrobial infections High throughput capacity	Expensive Complex
Metagenomic next-generation sequencing (mNGS) [1,3 ^{***} ,6]	Patients with undifferentiated fever or suspected infection without any cause identified by routine testing Sequence all genomic content (DNA and/or RNA) Sources: direct specimen – plasma mcfDNA, CSF, respiratory	Hypothesis-free Able to detect the DNA and RNA of all organisms simultaneously Ability to characterize the host response, if desired	Expensive Complex Requires significant bioinformatics expertise Environmental microorganism and host contamination that requires host depletion or target enrichment strategies May detect clinically insignificant microorganisms (e.g., transient, commensal) Send out testing to a reference laboratory may delay results
Whole genome sequencing (WGS) [3 ^{***} ,7]	Outbreak investigation Longitudinal follow-up for differentiation of new vs. chronic infection Sources: isolates, direct specimen	Can differentiate polymicrobial infections High throughput capacity	Expensive Complex Requires significant bioinformatics and taxonomic expertise

CSF, cerebrospinal fluid; DNA, deoxyribonucleic acid; RNA, ribonucleic acid.

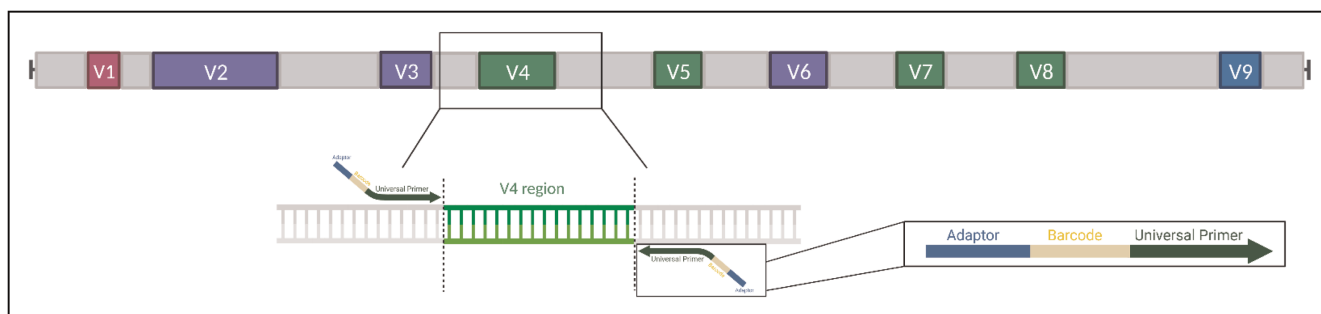


FIGURE 1. Map of the bacterial 16S rRNA genome regions 'ORIGINAL'.

possible; however, targeted NGS (tNGS) is currently more commonly used for clinical purposes [21]. For tNGS, a metataxonomic target, such as the 16S rRNA gene, is enriched before NGS to maximize the accuracy and efficacy of the assay [22].

As with Sanger sequencing, tNGS can be performed on an extensive range of samples, including normally sterile body fluids and biopsy tissue [17,23–25]. A recent retrospective study demonstrated that the average NGS positivity rate was 87% higher compared to Sanger sequencing, which was most pronounced in lung tissue (+300%), and least pronounced in eye fluid (no change) [23]. This observation reflects the complexity of the lung microbiome and supports the superiority of NGS for resolving polymicrobial samples. In addition, the clinical sensitivity of NGS was 11% higher than conventional culture overall and 22% higher in patients on antibiotic therapy [23]. Certain clinical syndromes may be better suited for the NGS testing approach. For example, 16S rRNA gene sequencing on heart valves was shown to successfully identify the etiological agent in 75% of patients with culture-negative infective endocarditis [26].

Another important clinical application of Sanger and tNGS is the assessment of antiviral drug resistance. Using the cytomegalovirus (CMV) genome as an example, the UL97 kinase, UL54 DNA polymerase, UL27 early gene, and UL56 partial terminase complex can be amplified and sequenced to look for drug resistance mutations [27–29]. A potential benefit of tNGS for the clinical laboratory is the ability to analyze multiple resistance genes in a single reaction. Additionally, tNGS can detect low-frequency variants making up as little as 1–2% of the total population, while Sanger sequencing generally requires variant frequencies on the order of 10–40% for detection. A recent study demonstrated that tNGS could detect minority UL97 and UL54 variants, and case reports have shown earlier detection of emerging resistance using NGS [30,31]. Whether detecting CMV drug-resistant variants earlier or at frequencies <10% improves treatment

outcomes, however, has not been established and requires additional study.

METAGENOMIC NEXT-GENERATION SEQUENCING

Unbiased sequencing approaches are particularly attractive for immunocompromised patients when the differential diagnosis is broad and clinical suspicion for infection is high [32]. Metagenomic NGS (mNGS) testing may be considered for syndromes where the yield of conventional microbiological testing (CMT) is expected to be low (e.g. sepsis, meningitis/encephalitis, and opportunistic pneumonia) [33,34–36]. Currently, mNGS is available through several reference laboratories [e.g., Karius, Redwood City, California, USA for plasma; the University of California San Francisco, California, USA for cerebrospinal fluid (CSF)] and may be performed in-house in some larger academic centers [1]. Additionally, off-the-shelf kits with associated bioinformatic tools such as the Respiratory Pathogen ID/AMR Enrichment Kit (RPIP, Illumina, San Diego, California, USA) are commercially available [32].

The Karius test has been used to help diagnose undifferentiated febrile illness, pneumonia, and culture-negative endovascular infection, or to provide an alternative diagnostic method when invasive sampling is contraindicated [36–39]. The Karius test detects microbial cell-free DNA (mcfDNA) in plasma. mcfDNA represents circulating short fragments of DNA, which can originate from an endovascular infection, deep-seated focal infection, or translocation of commensal microorganisms. Quantitative results are provided as molecules per microliter, with microorganisms reported if the plasma mcfDNA exceeds an organism-specific threshold [40]. Pertinent mcfDNA studies that include immunocompromised patients are summarized in Table 2. Overall, the clinical impact of Karius testing has varied widely across studies (range 7–80%) [7,41,42,43,44–47], which may be partly related to the absence of standardized impact definitions.

Table 2. Karius studies assessing clinical impact in immunocompromised patients ‘ORIGINAL’

Study	Patient population	Study design	Collection details	Approval required	Primary outcome	Summary
Vissichelli <i>et al.</i> (2023) [41 ^a]	Adult patients with a suspected infection (92% IC)	Retrospective chart review	N/A, at clinician discretion	Yes	Clinical impact as defined by the study authors	Positive impact (52.8%), negative impact (2.8%), no impact (44.4%) 58% of KT detected 1–5 organisms
Benamu <i>et al.</i> (2022) [42]	Adult patients with acute leukemia and neutropenia. Enrolled during their first febrile neutropenia episode	Prospective observational	Blood drawn within 24 h of fever and every 2–3 days until resolution of neutropenia	N/A, enrolled by meeting study inclusion criteria	Comparison to composite reference standard (clinical, CMT, radiographic)	Potential to optimize antimicrobials in 47% of patients Sensitivity 85%, specificity 100%
Shishido <i>et al.</i> (2022) [43 ^a]	Adult patients with a suspected infection (56% IC)	Retrospective chart review	N/A, at clinician discretion	Yes	Clinical impact as defined by the study authors	Positive impact (42.5%), negative impact (2.5%), no impact (55.0%) Positive impact when sent in SOT recipients (71.4%)
Niles <i>et al.</i> (2022) [44]	Pediatric patients with a suspected infection (76% IC)	Retrospective chart review	N/A, at clinician discretion	No	Clinical impact as defined by the study authors	Positive impact (12.4%), negative impact (5.3%), no impact (82.2%) A plausible pathogen was identified more often in IC patients (56 vs. 30%; $P=0.006$)
Hogan <i>et al.</i> (2021) [45]	All patients with a suspected infection (65% IC)	Retrospective chart review	Within 1 week of CMT	Variable across the different institutions and time periods	Clinical impact as defined by the clinical team	Positive impact (7.3%), negative impact (3.7%), no impact (86.6%)
Yu <i>et al.</i> (2021) [46]	Adult patients with hematologic malignancy or HSCT	Retrospective chart review	N/A, at clinician discretion	Yes	Clinical impact as defined by the clinical team	Positive impact in 59% of patients (28% escalation, 31% de-escalation)
Goggin <i>et al.</i> (2020) [47]	<25 years old with relapsed or refractory cancer	Prospective cohort study	Within 1 week of bacteremia	N/A, enrolled by meeting study inclusion criteria	Comparison to blood cultures	In the 3 days prior to bacteremia, KT had 75% sensitivity In the week prior to or after bacteremia, KT had 82% specificity
Rossoff <i>et al.</i> (2019) [48]	Pediatric patients with suspected infection (76% IC)	Retrospective chart review	N/A, at clinician discretion	94% ordered by ID service	Comparison to CMT and clinically relevant pathogens detected	80% of KT were clinically relevant Among the immunocompromised: sensitivity 93%, specificity 59%

CMT, conventional microbiological tests; HSCT, hematopoietic stem cell transplant; IC, immunocompromised; ID, infectious disease; KT, Karius tests; N/A, not available.

Factors associated with positive clinical impact included immunocompromised status, infectious diseases/stewardship-led approval and support for interpreting results [49]. Prospective studies are needed to generate evidence to move the field forward, including recent efforts such as the PICKUP study, which was performed in immunocompromised patients with pneumonia [50].

The clinical utility of mNGS for diagnosing CNS infections has also been assessed. In one of the largest multicenter studies performed to date, Wilson *et al.* [51] enrolled 204 adult and pediatric patients with suspected CNS infection. CSF mNGS testing detected 32 infections (62.5% viruses, 18.8%

bacteria). Overall, 8 of 204 (3.9%) of total tests and 8 of 13 (61.5%) of tests that detected a pathogen exclusively by NGS had a clinical impact. Furthermore, 26 infections were diagnosed with CMT but missed by NGS. These were categorized as: diagnoses made by serologic testing rather than direct evidence of the pathogen (e.g. West Nile virus), diagnoses by testing sites other than the CSF, compartmentalized brain abscess, or low pathogen concentration in the CSF [51].

mNGS is also an attractive option for pneumonia diagnosis. Interpreting mNGS results from respiratory specimens, however, is complicated by the complexity of the pulmonary microbiome [52].

Assessments of microbiome diversity may aid in separating pathogens from colonizers of the lung. For example, Zinter *et al.* [53] evaluated 34 immunocompromised children and found that pathogenic bacteria were more likely than commensal bacteria to have a higher abundance and decreased alpha-diversity. Among 30 immunocompromised patients who underwent a bronchoalveolar lavage (BAL), mNGS testing using the RPIP provided a microbiologic diagnosis in 58% compared with 35% for CMT [54]. Under hypothetical assumptions, increased detections would have led to a probable change in antimicrobials in 3%, possible change in 27%, and de-escalation of antimicrobials in 43% of patients [54].

Exactly where mNGS fits in current diagnostic algorithms remains an area of debate. Considering the cost of testing, it is reasonable to store collected samples for mNGS early in the evaluation until the results of CMT – including multiplex PCRs and/or 16S rRNA testing – are available. However, this strategy may delay diagnosis for some patients, and earlier testing could be considered for the critically ill. Additionally, as the positive predictive and negative predictive values of mNGS have yet to be fully characterized, results must be interpreted in the context of clinical, radiographic, and CMT findings.

WHOLE GENOME SEQUENCING

Multidrug-resistant (MDR) organisms are a common cause of healthcare-associated infection that may disproportionately affect immunocompromised hosts [55,56]. For example, MDR Enterobacteriales (MDR-E) colonization is particularly problematic in liver transplant patients, where the infection rate is greater than 20% [57,58]. The most prevalent resistance genes, including extended-spectrum β -lactamases (ESBLs) and *Klebsiella pneumoniae* carbapenemase (*KPC*) gene, are spread by horizontal transfer, which can lead to nosocomial transmission [59,60]. Geographic transmission history can be extrapolated using WGS data with higher resolution than is possible with other methods, and WGS-based surveillance has been investigated with promising results. One study documented cryptic transmission of new MDR-E lineage using weekly perirectal swab surveillance cultures [59]. In another study, routine WGS on bacterial culture isolates (*Staphylococcus aureus*, enterococci, *Acinetobacter baumannii*, Enterobacteriales) from hospitalized patients could identify the interhospital spread of drug resistance genes, as well as the sequence types that arose in the community [61]. Detailed transmission information could then help inform

infection control measures and the need for continued surveillance.

WGS shows promise for use at the individual level as well. Recently, the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) pandemic has exposed the increased risk of severe disease in immunocompromised hosts [62,63]. Such individuals may shed detectable viruses for prolonged periods, complicating the distinction between chronic infection and reinfection. WGS performed on longitudinal samples from the same individual can resolve this, thus helping to inform therapeutic decision-making [64]. In addition to individual-level applications, WGS-based surveillance to monitor for the emergence of new mutations and variants of concern SARS-CoV-2 prevention and control.

HOST RESPONSE AND TRANSCRIPTOMICS

Pathogen-directed molecular diagnostics have greatly enhanced organism detection rates for infectious diseases. However, targeted approaches may not detect all potential pathogens, and ‘shotgun’ strategies may identify organisms of uncertain clinical significance. Furthermore, none of these approaches reliably separates invasive organisms from colonizers, especially when testing from non-sterile sites. Transcriptomic profiling of the host immune response is a complementary method that may assist in identifying patients with an inflammatory response because of infection (vs. a non-infectious process), and when performed in conjunction with organism identification, could help separate commensals from true pathogens. The premise is that host gene expression is predictably conserved and unique to different types of infection.

Studies have been performed assessing the accuracy of whole blood transcriptomic signatures to differentiate viral vs. bacterial infections as well to help diagnose active tuberculosis [65,66]. The accuracy of these signatures has varied widely due in part to heterogeneity in the number of genes included in the signature, differences in the population from which the signature was derived, and/or the reference method used for comparison. In general, viral infection is easier to differentiate than bacterial infection (overall accuracy of 84 vs. 79%, respectively), and host gene expression classifiers may perform better in adults than younger children for both bacterial and viral infections [overall accuracy of 73 vs. 82% ($P=0.001$) and 80 vs. 88%, respectively ($P=0.001$)] [65].

There is theoretical concern that immunosuppression could limit the discriminatory power of immune response profiling. Although relatively few studies performed to date included immunocompromised hosts, performance for the detection of bacterial infection was lower in an immunocompromised vs. nonimmunocompromised cohort [overall accuracy of 73.9 vs. 84.6% ($P=0.4$), respectively] [67], and the blood transcriptome was not suitable for determining the cause of febrile neutropenia in children because of too few circulating immune cells for reliable gene expression analysis [68]. In contrast, HIV infection does not appear to reduce the sensitivity of TB signatures [69].

Simultaneous characterization of pathogens, microbial diversity, and the host transcriptome from the same sample may be the way of the future. Early proof-of-concept studies suggest that integrated host and microbe mNGS profiling using BAL, for example, improves diagnostic predictive value for lower respiratory tract infection beyond what is possible with CMT [70], and this will require expanded investigation across other clinical syndromes.

CONCLUSION

Sequence-based testing is an important diagnostic adjunct to consider for immunocompromised patients, especially when CMT is negative. When NGS testing is considered, it should be done in collaboration with the microbiology laboratory and infectious disease consultation [71]. In the future, it is expected that tNGS and WGS will become less expensive, more automated, and show improved analytic performance, which will facilitate their more widespread adoption in clinical laboratories. Additional research is needed to determine the positive predictive and negative predictive value of mNGS testing, and to understand the highest yield clinical syndromes, optimal timing of mNGS and its added value relative to CMT alone [72]. Prospective studies that include significant numbers of immunocompromised should be designed to measure patient-level outcomes as assessed by standardized criteria, including the impact of adjunctive NGS on antimicrobial use, potential for averting the need for invasive sampling, length-of-hospital stay, and mortality, as recently suggested [73]. Robust assessments of test performance and clinical utility will be key to justify widespread adoption outside of reference, academic, and public health laboratories [74].

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Conflicts of interest

There are no conflicts of interest.

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- of special interest
- of outstanding interest

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